

# **Toledo Bend Project Joint Operation**

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## **Request for Bids**

**RFB #26-001**

### **Toledo Bend Project Joint Operation Hydro Station Outage Unit #2**

**August 2025**

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SRA-TX Authority General Office  
12777 Hwy. 87 N.  
Orange, TX 77632  
409.746.2192

Toledo Bend Project Joint Operation  
450 Spur 135  
Burkeville, TX 75932  
409.565.2273

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## Notice to Bidders

The Sabine River Authority of Texas (SRA-TX) and the Sabine River Authority, State of Louisiana (SRA-LA), acting jointly as Toledo Bend Project Joint Operation (TBPJO), “Owner”, is seeking bids for Hydro Station Outage Unit #2 at the Toledo Bend Project Joint Operation Office (TBPJO). Sealed bids for the Project will be received **electronically** at **[www.CivcastUSA.com](http://www.CivcastUSA.com)**, until **August 12th, 2025, at 2:00 pm** local time. At that time, the bids will be virtually opened and read aloud. Public attendance will be at the TBPJO Office at 450 Spur 135 Burkeville, TX, 75932. A Zoom meeting invitation will be posted to CivCast and SRA-TX website for the opening of the bids.

Bids must be submitted and received no later than the opening date and time specified above. The Owner is not responsible for ensuring the submittal of Bids through **[www.CivcastUSA.com](http://www.CivcastUSA.com)**. Bids shall be clearly marked, “Request for Bid – TBPJO Hydro Station Outage Unit #2 (RFB #26-001).”

A **mandatory pre-bid** meeting will be held at the Toledo Bend Project Joint Operation Office at 450 Spur 135 Burkeville, TX, 75932 on **Wednesday, July 30, 2025, at 10:00 a.m.** to make certain that the scope of work is fully understood. All interested parties are required to attend.

The Owner reserves the right to adopt the most advantageous interpretation of the bids submitted in the case of ambiguity or lack of clearness in stating proposal prices, to reject any or all bids, and/or waive any formalities.

Contract documents may be obtained from (1) SRA-TX Authority General Office, 12777 Hwy. 87 N., Orange, TX or (2) Toledo Bend Project Joint Operation Office, 450 Spur 135, Burkeville, TX or (3) by download from [www.sratx.org](http://www.sratx.org) under doing business “bid opportunities” or [www.civcast.com](http://www.civcast.com) under project “Sabine River Authority of Texas – TBPJO Hydro Station Outage #2” or (4) by email request to [purchasing@sratx.org](mailto:purchasing@sratx.org).

Questions regarding contract documents may be emailed to [purchasing@sratx.org](mailto:purchasing@sratx.org) or directed to Stephanie Stanley at 409.746.2192.

## General Information and Instructions

This Request for Bid is for the Hydro Station Outage Unit #2 at the Toledo Bend Project Joint Operation Office (TBPJO) for the Sabine River Authority of Texas (SRA-TX) and the Sabine River Authority, State of Louisiana (SRA-LA), acting jointly as Toledo Bend Project Joint Operation (TBPJO), “Owner”, at the Toledo Bend Project Joint Operation Office in Newton County, Texas. A detailed scope of work and specifications are provided later in this package.

Below is the calendar of events associated with this request:

Date	Description
July 17, 2025	First publication date for Notice to Bidders
July 24, 2025	Second publication date for Notice to Bidders
July 31, 2025	Third publication date for Notice to Bidders
July 30, 2025, at 10:00 am	Mandatory Pre-Bid Meeting
August 1, 2025	Deadline to submit questions or request additional information
August 4, 2025	Responses to questions distributed as an addendum to the bid package
August 12, 2025, at 2:00pm	Bids will be opened and read out loud Public can attend at the Toledo Bend Project Joint Operation Office 450 Spur 135 Burkeville, TX 75932
September 2025	Anticipated time frame for delivery of Hydro Station Outage

At the Sabine River Authority of Texas and the Sabine River Authority, State of Louisiana, our goal is to purchase goods and services that are determined to be the best value to meet our business needs. We support open, fair, and unrestricted competition in selecting products and services with equal opportunity provided to all qualified parties including small business enterprises, Historically Underutilized Businesses, and Disadvantaged Business Enterprises.



## **Preparation of Bids**

Sealed bids shall be prepared and submitted in compliance with the specifications outlined in this Request for Bid package and the Notice to Bidders. All blank places on the Bid Proposal form must be filled in. No changes shall be made in any prices which may be prescribed for specific bid items. All requested documentation in this bid package must be submitted to have a complete bid.

## **Interpretation of Contract Documents, Technical Specifications, and Drawings**

Bidders desiring further information or interpretation of the contract documents, technical specifications, and drawings must make request for such information in writing to the purchasing representative. The email address to submit these questions is: [purchasing@sratx.org](mailto:purchasing@sratx.org). Such requests should be received five (5) days prior to the date for the Bid opening. The purchasing representative will direct any inquiries to the appropriate Owner personnel, and any answers to such requests will be given in writing to all Bidders, in addendum form, and all addenda will be bound and made a part of the contract. No other explanation or interpretation will be considered official or binding. Should a Bidder find discrepancies in, or omissions from the contract documents, technical specifications, or drawings, or should he be in doubt as to their meaning, he should at once notify the purchasing representative in order that a written addendum may be sent to all Bidders. It is the responsibility of the Bidders to know if they have received all such addenda, complete files of which will be maintained at both the Authority General Office and the Toledo Bend Project Joint Operation Office.

## **Examination of Contract Documents and Site**

In preparation of the Bid for the project, consideration must be taken to examine contract documents, make observations and investigations, and correlate knowledge and observations with the requirements set forth such as:

- a. Read the contract documents and related technical data and reports thoroughly. Use the complete set of contract documents in preparing bids. Assume responsibility for errors or misinterpretations resulting from the use of partial or incomplete contract documents.
- b. Visit the site to become familiar with general, local, and site conditions that may affect cost, progress, or performance of the work in any manner.
- c. Become familiar with federal, state, and local laws, ordinances, rules, and regulations affecting cost, progress, or performance of work.

Surveys and investigation reports of latent physical conditions at the site, or conditions or situations affecting the design of the project used in preparing the contract documents are referenced in the supplementary conditions.

- a. These reports are available for information only and the OWNER does not guarantee their accuracy or that any opinions expressed in the report are correct.

- b. Make additional surveys and investigations as necessary to determine the bid price for performance of the work in compliance with the terms of the contract documents before submitting a bid.
- c. The cost for these investigations is to be paid by the bidders.

Acknowledge sole responsibility for job site safety, including trench excavation and confined space entry safety, by the submission of a Bid for this project. The submission of a Bid is incontrovertible representation by the Bidder that he has complied with every requirement of this section.

### **Bid Security**

As a guarantee that the Bidder will promptly enter into a contract and execute both performance and payment bonds on the forms included in the contract documents if awarded the contract, the Bidder must meet the following bid security requirements as set forth in Texas Water Code Chapter 49 Subchapter I. If the Bid proposal exceeds \$50,000 up to \$250,000, the Bidder must submit a bid security in the amount of at least two (2%) percent of the amount of the maximum total bid in the form of a certified or cashier's check on a responsible bank in the state. If the Bid exceeds \$250,000, the Bidder must submit a bid bond in the amount of five (5%) percent of the amount of the maximum total bid in the form of an approved Bidder's Bond underwritten by a surety authorized to conduct business in the respective state.

### **Qualification Statement of Bidder**

Bidders must submit documentation with the bid package to demonstrate that the contractor is qualified by experience and capability of successfully executing the contract within the contract time and for the contract amount. Bidder must complete Qualification Statements Article 1-4 found in the bid documents.

The following additional information may be required upon request:

- a. Qualification and experience of subcontractors.
- b. Qualifications of manufactures proposed to furnish the principal items of material or equipment.
- c. Financial data consisting of audited financial statements for the last five years.
- d. Previous experience and present contracts.
- e. List of available equipment.
- f. Evidence of authority to conduct business in the jurisdiction where the project is located.

The Owner may conduct investigations as considered necessary to establish the responsibility, qualifications, and financial ability of the Bidders, proposed subcontractors, and other persons or organizations to do the work as described. The Owner may reject the Bid of any Bidder who does not meet any such evaluation to our satisfaction.

### **Conflict of Interest**

Pursuant to Chapter 176 of the Texas Local Government Code, any person or agent of a person who contracts or seeks to contract for the sale or purchase of property, goods, or services with a local government entity (i.e., Sabine River Authority of Texas) must disclose in the Conflicts of Interest

Questionnaire Form (CIQ) the person's affiliation or business relationship that might cause a conflict of interest with the local government entity. By law, the CIQ must be filed with the Owner's Records Management Officer no later than seven (7) days after the date the person begins contract discussions or negotiations with the Owner or submits an application or response to a Request for Bids, correspondence, or another writing related to a potential agreement with Owner. Updated Questionnaires must be filed in conformance with Chapter 176.

A copy of the CIQ is attached. If you have any questions about compliance, please consult your own legal counsel. Compliance is the individual responsibility of each person or agent of a person who is subject to the filing requirement. An offense under Chapter 176 carries a penalty up to a Class A misdemeanor.

### **Unit Price and Quantities**

The unit price for each of the items in the Bid shall include its pro rata share of overhead so that the sum of the products obtained by multiplying the quantity shown for each item by the unit price represents the total bid. Any Bid not conforming to this requirement may be rejected as informal. Special attention is drawn to this condition, as the unit prices will be used to determine the amount of any change orders resulting from an increase or decrease in quantities.

Unless stated otherwise in this Bid package, the Owner is obligated during the period stipulated to purchase all its normal requirements from the successful Bidder and the Bidder is obligated to supply the quantities which the Owner requires. The quantities stated are given as a general guide for bidding but are not guaranteed amounts, they represent the best estimated usage. The Owner reserves the option to purchase more or less of those services at the Bid price.

### **Sales Tax**

The Owner is exempt by law from payment of Texas State Sales and Use Tax Laws and Federal Excise Tax and is also an exempt agency as defined by the statutes of the State of Louisiana. The Bidder shall not include such taxes in the Bid.

### **Contract Time**

The commencement and completion time for the project shall be as stipulated in the Bid proposal.

### **Wage Rates and Employment Qualifications**

The Bidder's attention is called to the fact that not less than the federally determined prevailing (Davis-Bacon and Related Acts) wage rate as contained in the contract documents must be paid on this project.

### **Modification or Withdrawal of Bids**

Any modifications or withdrawals to Bid submissions which occur prior to the Bid opening can be done upon written request to the Owner. Modified Bids must still be submitted before the Bid

opening and meet all stated requirements. Modifications cannot be made to any Bid submission after the Bid opening. No Bid may be withdrawn for a period of ninety (90) days after Bid opening, except by mutual consent of the Owner and the Bidder.

### **Time for Receiving Bids**

Bids received prior to the advertised hour of opening will be kept securely sealed. The representative appointed to open the Bids will decide when the specified time has arrived, and no Bid received thereafter will be considered.

### **Required Bid Response Documents**

The following documents are required to be completed and be considered as a responsive bidder to this request for bid.

- a. Bid Proposal documents completed and signed as described above.
- b. Bid Bond
- c. Bid Opening Form – This form is to be completed which will be read publicly at the public bid opening.
- d. Vendor Compliance to State Law Form
- e. Attestations
- f. Affidavits
- g. Bonding Company Information – Identification of bonding company to be used for performance and payment bonds in the event of being awarded as successful Bidder.
- h. Non-Collusion Affidavit
- i. Form W-9 Request of Taxpayer Identification Number and Certification
- j. Conflict of Interest Questionnaire Form
- k. Additional items as stipulated in the request for bid
- l. Qualifications Statement

### **Opening of Bids**

The Owner will, at the time and place fixed for the opening of Bids, open each Bid, and publicly read it aloud, irrespective of any irregularities therein. Bidders and other interested individuals may be present at the public bid reading.

### **Representation**

By executing and submitting a Bid, the Bidder hereby represents and warrants to the Owner that the Bidder has read and understands the Bid documents and the Bid is made in accordance with the Bid documents. The Bidder also represents and warrants that the individual submitting the documents made part of the bid response is authorized to sign such documents on behalf of the Bidder and to bind the Bidder under any contract that may result from the submission of the response.

## **Confidentiality of Documents**

The Owner is subject to the Texas Public Information Act (PIA). Any information submitted to the Owner by the Bidder shall be considered non-confidential and available to the public, except as follows:

In the event a Bidder considers a specific portion of their Bid to be confidential and subject to an exception to disclosure under the PIA, such portion must be clearly identified and marked "CONFIDENTIAL". Do not mark an entire proposal confidential, as this is not in conformance with the PIA and is not acceptable. Only the specific portion or portions of the Bid that the Bidder considers to be confidential pursuant to the PIA should be marked. **IF AN ENTIRE BID IS MARKED CONFIDENTIAL, THE OWNER WILL NOT TREAT ANY PORTION OF THE BID AS CONFIDENTIAL AND THE BID MAY BE REJECTED AS NON-CONFORMING.** The Owner will honor notations of confidentiality in accordance with this paragraph and decline to release such information initially; however, final determination of whether a particular portion of a Bid may in fact be withheld pursuant to the PIA will be made by the Texas Attorney General or a court of competent jurisdiction.

In the event a public information request is received for a portion of a Bid that has been marked confidential, the Owner will ask the affected Bidder if the information may be released. If the release is agreed to, the Owner shall release the information.

If the release is denied, the matter shall be referred to the Texas Attorney General's Office in accordance with the process set forth in the PIA. The Bidder shall be fully and solely responsible for submitting arguments and evidence within the statutory timeframes to the Texas Attorney General's Office regarding its claim of confidentiality. The Owner will NOT submit arguments on behalf of the Bidder.

The Texas Attorney General's Office shall rule on the matter. In the event that it is determined by the opinion of the Texas Attorney General or court of competent jurisdiction that such information may not be withheld, then such information will be made available to the requestor. If it is determined that the information may be withheld, Owner will withhold the information from the requestor.

Pricing information contained in bids or contracts is not considered confidential under the PIA and will be disclosed without making a request to the Texas Attorney General.

## **Award of Contract**

The Owner shall have the right to reject any and all bids in accordance with the specifications of this document or any applicable state law. Unless the Owner elects to reject all Bids, the contract will be awarded as promptly as possible, consistent with the time required for a thorough analysis of all Bids submitted. Bidders are required to hold bids for the minimum time frame as stipulated in this Request for Bid. The award will be made to the lowest responsive, responsible Bidder or to the Bidder who provides goods or services at the best value for the Owner.

In determining the best value for the Owner, the following may be considered:

- a. The amount bid;
- b. Reputation of the bidder and the bidder's goods or services;

- c. Quality of the bidder's goods or services;
- d. Extent to which the goods or services meet the needs of the Owner;
- e. Bidder's past relationship with the Owner;
- f. Total long-term cost to the Owner to acquire the bidder's goods or services;
- g. Bidder's past experience in performing similar work;
- h. Bidder's financial record indicating the stability of the bidder;
- i. Bidder's history of successfully completing projects; and
- j. Any relevant criteria specifically listed in the request for bids or proposals.

Each Bidder agrees to waive any claim it has or may have against the Owner, Engineer, and their respective employees, arising out of or in connection with the administration, evaluation, or recommendation of any Bid.

### **Evaluation of Bid Proposals**

In considering Proposals, Owner will evaluate, score and rank the Proposals in accordance with the evaluation criteria and weighting set forth in this RFB to determine the Proposal that offers the best value to the Owner.

The Proposals will be evaluated using the criteria and weighting indicated in the table below.

Evaluation Criteria	Weight
Compliant Bid Proposal; Bid Bond	Pass/Fail
Proposer Reputation and Quality of Good and Services	25
Proposed Cost of Project	25
Proposer Qualifications and Experience	25
Proposer Successful Project Completion Rate and Approach	25
Total	100

Material misstatements and/or inaccuracies in the information submitted in the Proposals that was a basis for evaluation, scoring and ranking may be grounds for rejection of the Proposal for this Project. Any material misstatements and/or inaccuracies, if discovered after award of the Agreement may be grounds for immediate termination of the Agreement, at the Owner's sole discretion. Additionally, the Proposer will be liable to the Owner for any additional costs or damages to the Owner resulting from such misstatements and/or inaccuracies, including costs and attorney's fees for collecting such costs and damages.

Submission of a Proposal indicates Proposer's acceptance of the evaluation criteria and weighting contained in the RFB as well as Proposer's recognition and acknowledgement that subjective judgments must be made by the selection committee during the evaluation.

## **Execution of Agreement**

Upon award to the successful Bidder, the following conditions and requirements are part of the execution of the agreement by the Contractor as specified herein.

### **Insurance Requirements**

The Contractor shall, at their sole expense, maintain insurance coverage as outlined in the General Conditions. The Contractor must provide proof of the required insurance coverage and obtain approval from the Owner prior to entering into the contract.

### **Performance and Payment Bonds**

The Contractor must provide performance and payment bonds for this contract as stated herein.

**Performance Bond:** By Texas State statute, local governments must require a performance bond from all contractors where such contracts involve construction, alteration, or repair of buildings or other public works projects in excess of \$100,000.00. Such bonds must be executed by a corporate surety authorized to do business in the State of Texas in accordance with Article 7.19-1 Bond of Surety Company; Chapter 7 of the Insurance Code, must be for not less than one-hundred percent (100%) of the contract price, and remain in effect for one year beyond the date of acceptance by the Owner. Performance bonds are conditioned upon "the faithful performance of the work in accordance with the drawings, specifications, and contract documents". These are in effect performance guarantees to assure completion of construction. These bonds are solely for the protection of the Owner.

**Payment Bond:** A payment bond is one executed in connection with a contract (construction, alteration, or repair) to assure payment as required by law to all persons supplying labor and materials in the execution of work provided for in the contract. These bonds are required solely for the protection of all such claimants. These, like performance bonds, must be issued by a State approved corporate surety in accordance with Article 7.19-1 Bond of Surety Company; Chapter 7 of the Insurance Code, must also be for not less than one hundred percent (100%) of the contract price, and remain in effect for one year beyond the date of acceptance by the Owner. The \$25,000.00 State requirement (i.e., all contracts over that amount will require the Owner to have one hundred percent (100%) payment bonds) is also the same.

Failure of the successful Bidder to execute such an Agreement and to supply the required bonds within ten days after the prescribed forms are presented for signature, or within such extended period as the Owner may grant, based upon reasons determined sufficient by the Owner shall constitute a default, and the Owner may either award the Contract to the next lowest responsible Bidder or re-advertise for bids, and may charge against the Bidder the difference between the amount of the bid and the amount for which a contract for the work is subsequently executed, up to the amount of the Bid Bond. If a more favorable bid is received by re-advertising, the defaulting Bidder shall have no claim against the Owner for a refund.

**Equal Opportunity**

The Owner provides equal opportunity for all qualified parties including Historically Underutilized Businesses (HUBs). If your organization or any associated sub-contractors on the project are a certified HUB with the State of Texas, please submit documentation of the certified organization, including description of the work, percentage of the contract expected to be completed by the HUB, and the certification number of the HUB.

**Executed Documents**

Agreement and Bonds will be prepared in not more than six counterpart (original signed) sets. The Owner will furnish Contractor three sets of conforming contract documents, technical specifications, and drawings free of charge. Any additional sets will be obtained at commercial reproduction rates.



**Request for Bids – Scope of Work  
Toledo Bend Project Joint Operation  
Hydro Station Outage Unit #2  
RFB #26-001**

**GENERAL PLANT SITE INFORMATION**

**LOCATION:** The Toledo Bend Hydro plant is in Newton County, Texas (near Burkeville, Texas) and Sabine Parish, Louisiana (near Hornbeck, Louisiana). This site forms part of the Texas-Louisiana border.

**RESERVOIR:** The lake covers 185,000 acres, is 15 miles across at its widest point, and has 1,264 miles of shoreline. The reservoir has a storage capacity of 4,777,000 acre-feet (one acre-foot is the volume of water required to cover an acre of land to a depth of one foot), and it has an average depth of 60 feet.

**POWER PLANT CAPABILITY:** The two hydroelectric power generators have a generating capacity of 75,000 kilowatts. The estimated annual energy output is approximately 200 million kilowatt-hours.

**GENERAL WORK SCOPE:**

Toledo Bend Unit 2 Fall 2025 Outage is scheduled for 6 weeks (breaker-to breaker), tentative start dates shown in the contract documents for the fall of 2025. The outage schedule is subject to be changed depending on the lake level. SRA will reconfirm the outage schedule approx. (30) Days prior to the above start date. Note that all work shall be completed on or before the end of week #6.

Outage general work scope is listed as follows:

1. Intake Gate #6 **In-place** Inspection. Clean by pressure washing and perform a painting inspection for the Head Gate #6. Replace bottom Rubber seal.
2. Install/Remove Outlet Gates.
3. Install/Remove Bulkhead gates.
4. Remove debris from the sump.
5. Wicket Gate Inspection
6. Turbine/ Runner Inspection
7. Generator Cooler Inspection
8. Generator guide bearing adjustment.
9. Thrust Bearing Cooler Inspection
10. Clean and inspect Tanks and reservoirs.
11. Air vent valves remove/inspect/replace.
12. Contractor to rent and provide 30- or 35-ton cherry picker / crane for head gate stop log installation and removal WR#?
13. Main Shaft packing/Gland. Check the existing strap for repairs needed.
14. Clean the Dirty oil Tank.
15. Remove\Install new Station Sump Pump #1
16. Remove\Install Emergency Fire Tank Relief valve.
17. Remove\Install Governor oil pumps and motors.
18. Remove Distribution line anchor points.

The successful Bidder shall provide: Safety coordination and oversight on project, supervision, labor, quality control ("QC"), certified crane operator/ signal person/qualified rigger, rigging, scaffolding, rentals, misc. materials, consumables, safety equipment, safety audits and Job Hazard Analysis. Details of these items are discussed in the body of the scope below. Successful bidder, we also be responsible for rescue team (during diving and confined space operations.

The contractor shall inspect and repair (see above) Intake Gate #6 and all its components at the Toledo Bend Plant on Unit 2. This should occur while the unit is offline.

The contractor shall remove external housing from the Intake Gate Hoist. Contractors shall install stop logs while supporting divers. Contractors install temporary support on the Intake Gate. The contractor shall also supply all necessary scaffolding in turbine areas such as draft tube, scroll case and any other scaffolds requested by SRA personnel. Contractor shall also provide all necessary assistance with debris removal from draft tube/Sump.

**Schedule:**

The contractor shall begin to mobilize upon issuance of notice to proceed (NTP), and first official outage workday will be as noted in the NTP for September of 2025. Outage work duration shall be no longer than 6 weeks from outage official start date. The contractor is also responsible for providing a detailed Microsoft Project schedule for all work within 30 days once purchase order is awarded.

**WORK SCOPE OUTLINE:**

A- Site Preparation

B- Startup support

C- Site Restoration

D- Details work scope.

- Preparation for intake gate "in-place" inspection and repairs
- Intake gate inspection and repairs
- Intake gate reassembly
- Install/remove outlet and bulkhead gates.
- Remove debris from the sump.
- Wicket gate inspection
- Turbine/Runner blocks, weld/ inspections
- Auxiliary work
- Generator cooler inspection
- Thrust bearing inspection.
- Adjust existing strap on Main shaft packing.
- Weld up leaks in piping in Turbine Pit

E- Furnish by contractor.

F- Provide by SRA

## **DETAIL WORK SCOPE:**

### **A – OFF SITE SAFETY TRAINING SITE PREPARATION**

1. Set up office trailer, break room, tool trailers, etc.
2. Set up equipment.
3. Order and receive scaffolding materials.
4. Clean Dirty Oil Tank
5. Onsite Safety orientation: Attend pre-outage readiness meetings.

### **B - STARTUP SUPPORT**

1. Provide supervision and workers required to support during unit startup:
  - a. Contractor startup team includes Superintendent, Foreman, workers (4), certified crane operator (1)
  - b.

### **C - SITE RESTORATION**

1. Work area cleanup.
2. Remove office, break room and tool trailer.
3. Remove equipment and ship off site.
4. Final approval and complete demobilization and contractor released form.

## **D- DETAILS WORK SCOPE**

1. **Preparation for Intake Gate “In-place” Inspection and repairs**
    - a. Remove the gear box cover (doghouse)
    - b. Remove the center guardrails.
    - c. Set maintenance beams next to gate (See block marks for proper direction. Also need support rods and nuts). SRA provides beams, rods, nuts, and washers.
    - d. Remove steel grating and install fiberglass grating, SRA provided grating.
    - e. Divers to inspect the outlet gate seating area (SRA schedule the divers)
    - f. Install outlet gates. Note: Assist divers to install outlet gate. If cherry picker is used, SRA will install the ground chain.
    - g. Remove the top two sections of #6 bay Trash racks for divers’ access to stop logs.
    - h. Intake gate stop log installation: Assist Divers to inspect the intake gate stop log seal area. SRA schedule the divers. Raise and lower the bottom stop log three times, remove stop log. Diver to inspect the seal area. Install the stop logs (3 sections).
    - i. Install intake stop logs (3 sections in proper sequence). Contractor to provide 35 Ton (Cherry Picker), and certified crane operator/certified signal person. Note: Leave the cables on top stop log for activity #3. g
    - j. Dewater the draft tube and scroll case (SRA)
    - k. Raise intake gate (6 Gate) to check for leak on stop logs.
    - l. Take measurements and break limit switch chains (SRA)
    - m. Raise the head gate clear the concrete floor.
    - n. Lower head gate
    - o. Install maintenance beams (2 beams)
    - p. Install vertical support rods (2 rods)
    - q. Install full and half lock nuts on top and bottom of rods.
-

- r. Build scaffold floor underneath (with four layers of six mill plastic Visqueen to catch dropped tools and debris) and on both sides of head gate (downstream and upstream)

## **2. Intake Gate In-place Inspection and Repair**

- a. Perform general inspection and submit the as-found inspection report on intake gate, seals, bolting, and all associated components.
- b. Perform visual inspection and take back slack movement readings on sheaves. Remove sheaves if needed.
- c. Upon welding repair (if required), sand blast the gate upon completion of welding repair. Blasting shall be done ONLY in the repaired areas. Paint and coat the gate with Carboline 341 epoxy coating. Schedule to allow coating curing time, approx. 48 hours curing time. Note: DO NOT paint on the seals and sealing area. DFT coating thickness: 0.040 on upstream and 0.020 on downstream of the gate surface. Perform spot coating ONLY on repaired area. (Note: Blasting and coating will be done by either firm cost with pre-approval or T&M).
- d. Inspect and replace seals as required. The bottom seal will need to be replaced.

## **3. Intake gate Reassembly**

- a. General clean blasting sands/debris. Perform final inspection and remove scaffolds and weather protection enclosure if necessary.
- b. Inspect the gate sealing area in scroll case by both SRA and contractor supervisors, return gate #6 back to hoist in operating position.
- c. Lower gate #6. SRA to equalize by flapper valve, and check for leaks at the gate seals.
- d. Using cherry-picker, raise the top stop log to fill the area between stop log and gate, not to remove the top stop log. Note: Should have the cables on top stop log as per activity # 1.i (alternate method if flapper vv. Fails)
- e. Water the scroll case by using gate #4 & #5, by SRA.
- f. Test run the hoist and intake gate and check for operation (SRA and others)
- g. Assist Divers to remove stop logs. Note: Contractor to determine schedule, SRA to schedule the Divers
- h. Reinstall the doghouse.

## **4. Wicket gate inspection**

- a. Open scroll case door
- b. Erect scaffold
- c. Perform wicket gate squeeze check, then lock gate in open position to clean sealing surfaces, then lock gates in closed position.
- d. Take gate clearances (gaps) and record (SRA to provide datasheets)
- e. Inspect pins and bushings.
- f. Replace bushings and pins as required (allowance item as T&M basis)
- g. Perform gate adjustment as needed ( allowance item as T&M basis)
- h. Perform welding repair as required. (contractor to provide welding procedure, allowance item as T&M basis) (cavitation)
- i. Final inspection on draft tube prior to removing scaffolding.
- j. Final inspection prior to closing doors (scroll case)- QAQC.

- k. Close door

**5. Turbine inspection**

- a. Unbolt and open door.
- b. Install scaffolds.
- c. Clean and inspect runner and buff blades as required/may need sandblasting.
- d. Inspect blades and runner hub for sign of cracks.
- e. Inspect cavitation area/check blocks and previously repaired areas (HDR AND BELZONE INVOLVEMENT as needed).
- f. Perform welding repair as required. (contractor to provide welding procedure, allowance item as T&M basis)
- g. Assist belzona/SRA rep if needed.
- h. Take hub and blade clearance reading (SRA to provide datasheets)
- i. Inspect lower turbine guide bearing.
- j. Check and adjust as required.
- k. Final inspection (QA/QC)
- l. Deleted
- m. Close draft tube door

**6. Generator Inspection**

- a. Inspect and adjust upper guide bearing as required.
- b. Remove/reinstall lagging panels for generator cooler removal/installation.
- c. Inspect generator lock pins.
- d. Inspect generator thrust bearing, make adjustment as required.

**7. Miscellaneous Electrical Testing (Allowance Item as Directed by SRA)**

**8. Auxiliary work**

- a. Clean and inspect Turbine Guide bearing oil reservoir.
- b. Clean and inspect Gov. Oil pump reservoir.
- c. Clean and inspect the Gov. Oil tank.
- d. Clean and inspect the Gov. Air Tank
- e. Supply new door gaskets. SRA provides gasket materials.
- f. Pressurize the system and check for leaks (SRA)

**9. Generator Cooler Inspection**

- a. Disconnect cooling water piping.
- b. Match mark cooler and heads Remove coolers, remove cooler heads.
- c. Pressure wash cooler heads and inspect/repair if needed.
- d. Setup water blasting equipment.
- e. Water blast and clean cooler bundles
- f. Perform pneumatic leak test on cooler tubes.
- g. Reassemble generator cooler bundles, heads, and piping according to correct matched marks/install new anodes.
- h. Put coolers to service and check for leak upon completion.

**10. Thrust bearing cooler inspection!**

- a. Drain oil/ remove doors. Match mark bearing coolers.
- b. Disconnect piping.
- c. Remove existing coolers.
- d. Remove/install new check valves and regulators.
- e. Test for leaks.
  
- f. Load and unload(new) coolers on/from truck for SRA to provide transportation)
  
- g. Pressure test new coolers before installation.
- h. Install coolers with new gaskets. (Check on installing flex hoses) SRA to provide new gaskets and hoses, if needed.
- i. Check for leaks/ install doors new gaskets.

**11. Cooling Water Line**

**12. Pre-Outage Work**

- a. Safety equipment (Water access, handrails etc.)
- b. Mobilization (break trailer etc.)
- c. Crane/rigging inspections (by others)
- d. Retrieve supplies from the SRA Building
- e. Check piping and insulation to be removed or worked on for lead & asbestos (by others)
- f. Receive and stage scaffolding where needed.
- g. Safety orientation and meetings
- h. Check lifting lugs on Generator coolers.
- i. Inspect sealing surface on stop logs/lifting connections.
- j. Remove doghouse and handrails etc.
- k. Move dog beams etc. into position.
- l. Clean the Dirty oil tank.

**13. Remove\Install new Station Sump Pump #1**

- a. Build strongback Scaffolding.
- b. Confined space entry.
- c. Set up lighting.
- d. Remove the old pump.
- e. Install a new pump.
- f. Connect motor to new pump.
- g. SRA will reconnect leads.
- h. Check rotation.

**14. Remove/Install Emergency Fire Tank Relief Valve**

- a. Build scaffolding.
- b. Remove old PRV.
- c. Install new PRV.
- d. Pressurize and check for leaks. (SRA)
- e. Remove scaffolding.

**15. Remove\Install Governor Oil Pumps and Motors**

- a. SRA will isolate and disconnect wires for motors.
- b. Remove motors and pumps.
- c. Prep motors and pumps for transportation.
- d. Install/Align motors and pumps once returned.
- e. SRA will reconnect wires and check rotation.

**16. Remove Distribution line anchor points at Structure Roof.**

- a. Use of a man lift for rigging/cutting.
- b. Crane and licensed crane operator will be needed.
- c. Cut steel anchors and lower anchors to the ground for disposal.

**Notes:**

Below is a list of the onsite and off-site qualified contractors who have been identified as qualified vendors/subcontractors for the successful bidder. Note any onsite contracted or sub-contracted vendor working onsite must be Pre-Approved by SRA Plant Management. Any other subcontractors must be identified in the bid proposal for prequalification and approval.

<u>Contractor names</u>	<u>Work description</u>	<u>OnSite</u>	<u>Offsite</u>
Dick & Sons diving	Divers	X	
Southside Machine	Metal Fab and Machine	X	X
Waste Water	Disposal	X	

## E. FURNISHED BY CONTRACTOR

### Safety & security related:

1. All contractor personnel will be required to participate in site specific training prior to working onsite and attend daily pre-job and weekly safety meetings. Each crew will also complete a Safe Work permit as required each day prior to beginning work.
2. Maintain clean work area, free of debris, & obstructions to normal travel, fire hazards, etc.
3. Safety and health equipment required by OSHA and SRA.
4. Adherence to SRA, contractor rules.
5. Observance of Toledo Bend hydro plant security guidelines and safe work practices and procedures such as tagging, confined space entry, etc. as administered by our operations department.
6. Provide a certified crane operator/signal person, all times as required for the project and he/she would be flexible to assist other turbine millwrights, as manpower is required. Provide assistance with loading and unloading equipment for other on-site contractors or SRA craft persons with pre-notice.

### Vender Required Materials (to include but not limited to):

1. Air hoses, extension cords, sheet plywood, lumber, and tarps.
2. Expendable and consumable items.
3. All tools, temporary office facilities, sanitary facilities, porta potties, lighting, and ventilation.
4. Welding and cutting equipment.
5. Housing for office requirements and worker change shacks for contractor personnel.
6. Thread and joint compounds, common gasket material and all consumable and expendable materials (such as rags, solvents, cleaning tools, plywood's, general lifting cables one-half and under, etc.)
7. Hard hats, safety glasses, goggles, and all other safety equipment and devices.
8. Support services to NDE contractor, dust-blaster, painters, and epoxy coating for intake gate repairs
9. The contractor will be expected to perform and support extra work as needed and authorized by SRA during the scheduled overhaul period.
10. General purpose slings, rigging equipment, including chain hoists, tools, heating and burning equipment, precision measuring tools, hand tools and special tools for all work other than those listed in 4.2 Responsibilities of SRA.
11. Perform the inspection/overhaul of this equipment in accordance with the items listed in the following work scopes. The contractor shall not assume that work is intended to be performed in the order listed but will be required to employ necessary methods to do a complete job.



12. The contractor shall provide SRA with the guidelines and agreement on the rework costs in the bid proposal.
13. Drill, remove and tap out any bolts up to ¾.”
14. General pump and motor coupling and bearing alignment shall be included in the original cost.
15. All welding, heat treatment, and NDE work will be done in accordance with ANSI code B31.1
16. Contractor to furnish all wire plugs or connectors for a single or three-phase 480V power tools and welding machines (SRA to make connections). (NOTE: No 220V single or three-phase power is available.)

Work quality related.

1. Precision measurement devices.
2. To perform work in a clean and orderly manner using safe work practices.
3. To furnish competent labor to complete work as scheduled.
4. Disconnected piping shall be closed with polyethylene film or equivalent and securely taped. Open oil cavities shall be covered during work in adjacent areas.
5. SRA Power Generation Foreign Material Exclusion Procedures will be adhered to during work on applicable equipment.

Others:

1. A complete report on the job performance, safety and NDE records after the project completion date but before final payment is made.
2. All subcontractors are to be approved by Plant management. The names of all subcontractors will be identified in the bid proposal.
3. Provide a daily log and time sheets, as required at all time and material work.
4. All Contractors will furnish SRA with a written weekly job status report detailing items completed and % completion of work in progress, challenges to progress, including work behind schedule and resources required to complete the work.
5. All Contractors will provide weekly estimates of cost expended and Present Estimate to complete known scope.
6. Submit quotation and maintain an updated critical path and bar chart for company review during the outage.
7. To provide as a part of the proposal, an organization chart demonstrating the responsibility area of the contractor management from the Vice President of the department to the first line supervision of the job. Names of the individuals and phone numbers will be included. To include with quotation, the resumes of contractor superintendent, supervisors/Foremen.
8. To furnish competent contractor company supervision for managing and planning as needed. (NOTE: Craft foremen are not to be considered contractor company supervisors.)
9. The contractor superintendent will attend pre-outage planning semi-monthly meeting and weekly outage meeting.

## F- MATERIAL & SERVICES PROVIDED BY SRA

Contractors shall have use of discussed equipment and facilities. Contractor is responsible for care, and cleanup of used items. Contractor to IMMEDIATELY notify SRA of any maintenance or defective items. Damage to SRA provided items by Contractor outside of normal wear and tear shall be replaced/repaired at Contractor's expense.

### Safety Related:

1. Contractor shall have all craft and supervision attend and complete the Toledo Bend Plant's, Site Specific orientation presentation. The presentation will help to explain various procedures, tagging, confined space procedures and safety requirements for working at the Toledo Bend Power Plant. The overall Project JHA is to be covered here also with the Contractor's employees after the Orientation film.
2. The contractor's employees shall be allowed use of existing SRA parking facilities as specified by the SRA.
3. Furnish existing station fire protection equipment.

### Cost Related:

1. Electric power will be supplied at the connection box (110 and 440 volts). No extension cords will be supplied to the Contractor. The Contractor must advise SRA of power requirements.
2. Station service compressed air for small tools (not for blasting or heavy pneumatic equipment).
3. Normal compressed air, electric power and service water as required at no charge.
4. Special tools and equipment as originally supplied by OEM, with turbine-generator set and turbine rotor stands.
5. All spare parts, including special gasket material (example: Generator cooler head gaskets), bolts, etc.
6. Floor covering and lay down blocking and cribbing, excluding plywood sheets. Contractor to provide SRA the list of materials.
7. All replacement oils and greases and to drain and refill the oil reservoirs.
8. Suitable trash containers at job sites.
9. Electricians/test for all electrical/instrument disconnects/ reconnects.
10. Welding material, equipment, including oxygen and acetylene etc.

### Quality Work Related:

1. To make available the necessary operating personnel for start-up and operation of equipment.
2. Equipment datasheets and drawings as marked up by contractor to reflect existing configuration.

Others:

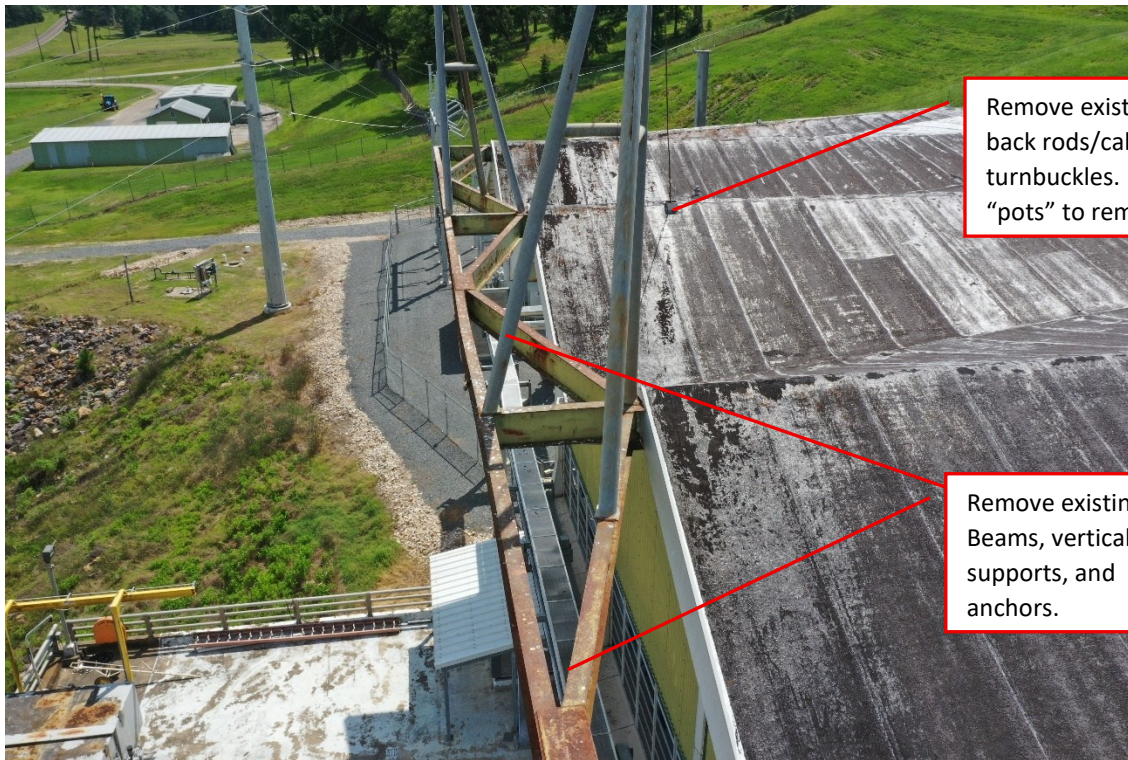
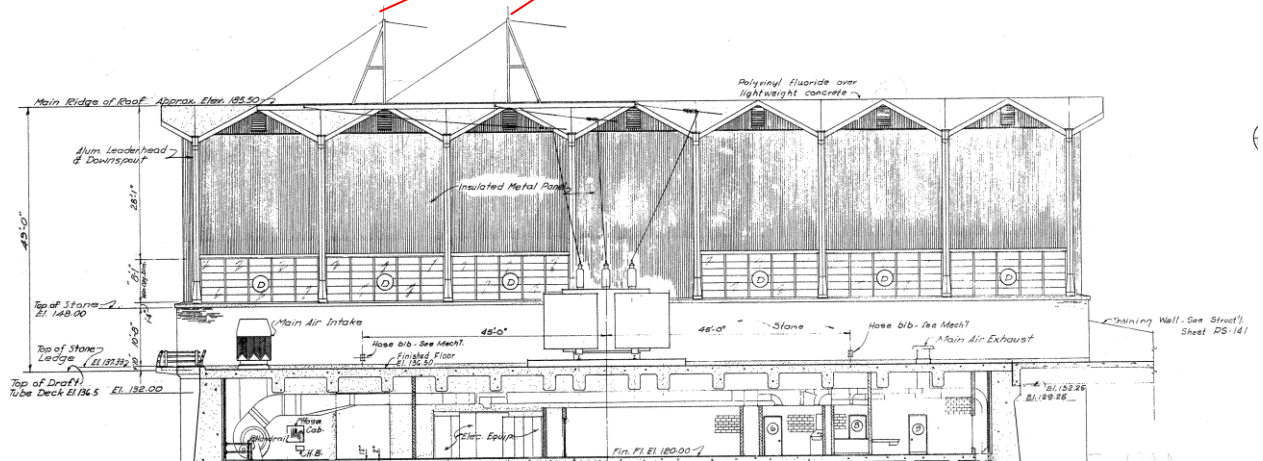
1. SRA will make an existing telephone available to the Contractor's Projector Manager. Use of the telephones shall be as specified by SRA.
2. Plant machine shop services upon request with reasonable notice.
3. Indoor overhead crane and make available the existing crane in good working order.
4. To provide a contractor with SRA representative, one for each shift, as required.

Commercial Questions		Technical Questions
Don Iles		SRA Toledo Bend plant
Attn: Don		Attn: Robert Cullums
450 Spur 135		209 PVT Rd.6055
Burkeville, TX 75932		Burkeville, TX 75932
Don Iles - Middle Basin Manager		Robert Cullums- Plant Manager
409-565-2273		409-880-6273

Pre-bid meeting schedule:

Bidders are required to attend pre-bid meetings for qualification. Refer to the notice to bidders for time, location, and date of the pre-bid meeting.

Remove Existing  
Distribution Line Anchor  
Points from Powerhouse



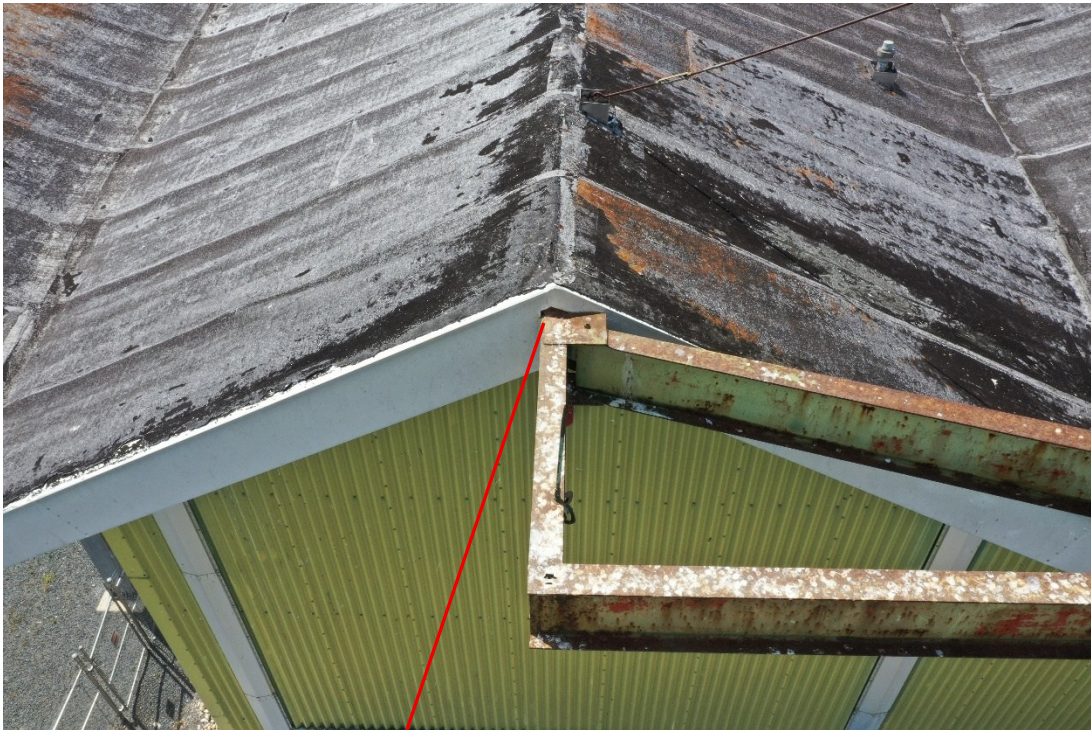
Remove existing tie  
back rods/cables and  
turnbuckles. Roof  
"pots" to remain.

Remove existing Steel  
Beams, vertical  
supports, and  
anchors.

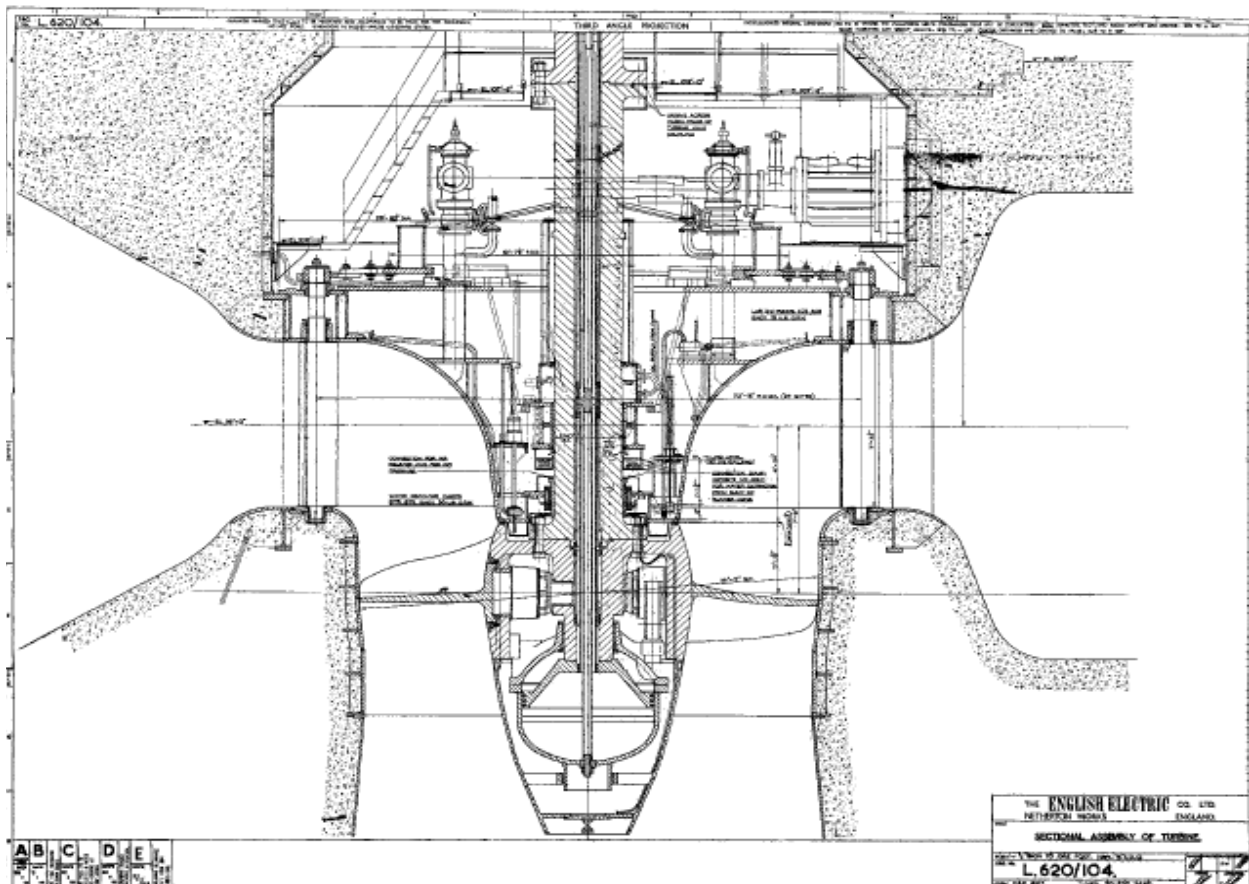


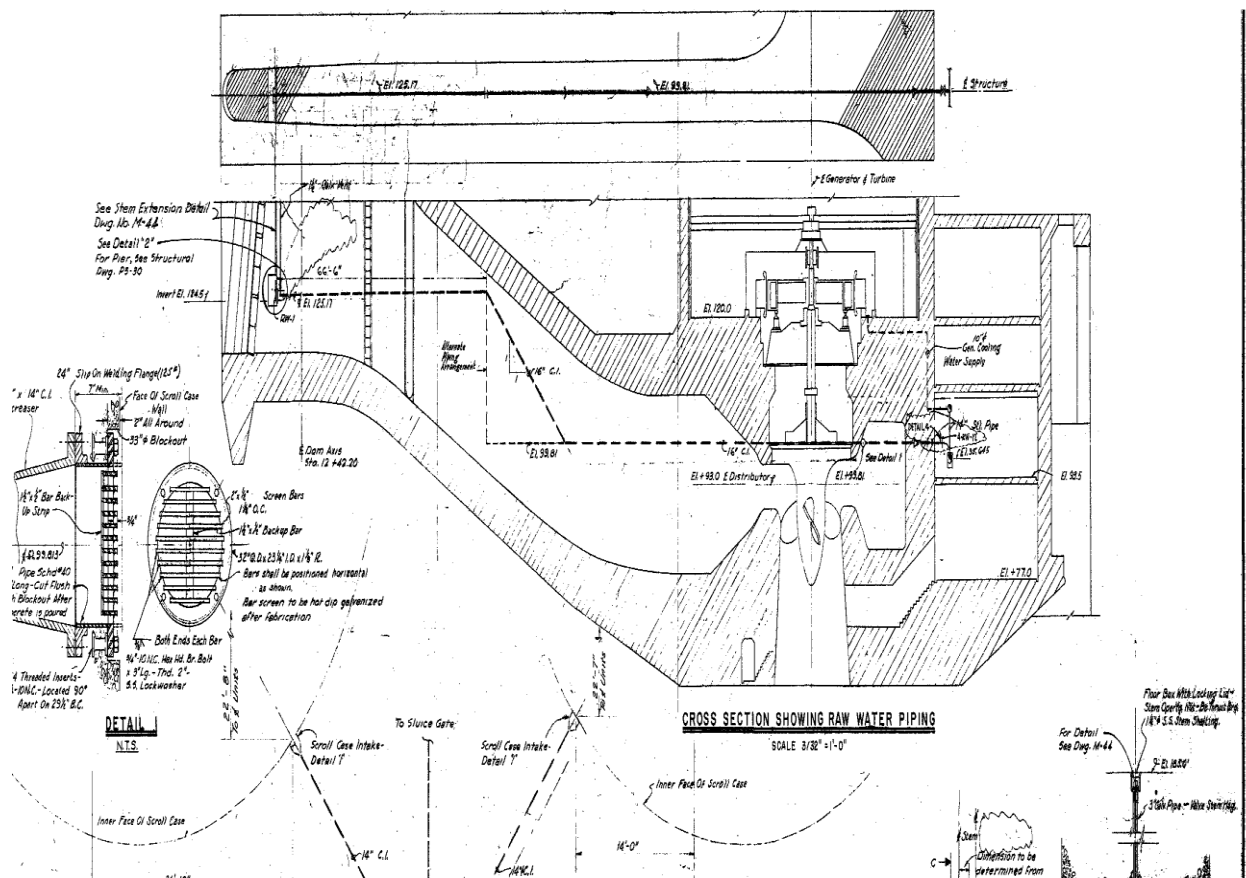


Remove existing Steel Beams, at roof clip.  
Roof clip to remain. Contractor to prepare  
surface and apply Amide Epoxy Paint System  
(typical all locations).

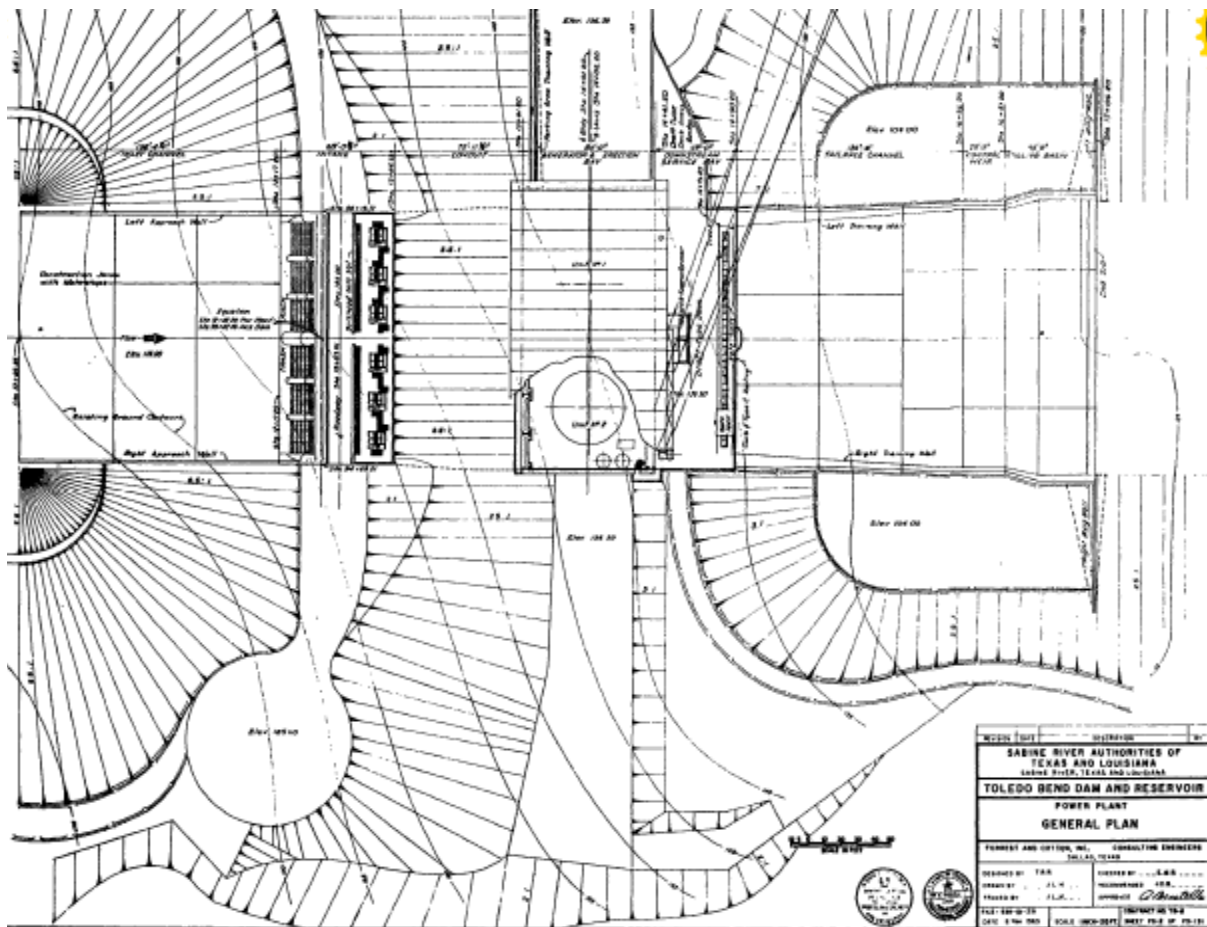


Remove existing Steel Beams, at roof clip.  
Roof clip to remain. Contractor to prepare  
surface and apply Amide Epoxy Paint System  
(typical all locations).









K77-01-751 TR-A Ch. P57



**BID PROPOSAL**  
**Toledo Bend Project Joint Operation Hydro Station Outage Unit #2**  
**RFB #26-001**

Place: Burkeville, Texas

Date: \_\_\_\_\_

Proposal of \_\_\_\_\_

\_\_\_\_\_ hereinafter called Bidder, (a corporation organized under the laws of the State of \_\_\_\_\_) (partnership consisting of \_\_\_\_\_ )  
(a proprietorship) (strike out inapplicable references).

To: Sabine River Authority of Texas and Sabine River Authority, State of Louisiana, Newton County, Texas; (hereinafter called Owner):

The Bidder, in compliance with your request for proposals for the herein referenced construction, having examined the contract documents, drawings and related documents and being familiar with all of the conditions surrounding the availability of materials and labor, hereby proposes to furnish all labor, materials, and supplies within the time set forth herein, and at the prices stated below. These prices are to cover all expenses incurred in performing the work required under the Contract Documents, of which this proposal is a part.

Bidder hereby agrees to commence work under this contract on or before a date to be specified in a written "Notice to Proceed" from the Owner and to fully complete the project within **45** consecutive calendar days thereafter as stipulated in the contract documents. Bidder further agrees to pay as liquidated damages the sum of **\$1,000** for each consecutive calendar day thereafter as provided.

Bidder acknowledges receipt of the following addenda:

Addendum No. 1 dated _____ , _____	Received _____ , _____
Addendum No. 2 dated _____ , _____	Received _____ , _____
Addendum No. 3 dated _____ , _____	Received _____ , _____
Addendum No. 4 dated _____ , _____	Received _____ , _____
Addendum No. 5 dated _____ , _____	Received _____ , _____

Base Proposal: Bidder agrees to perform all the improvements and related items described in the specifications and shown on the drawings for the sum of the following unit prices and lump sum prices:

---

Bidder agrees that if the contract is awarded to him, he will secure proper compliance with the terms and provisions of the contract, to insure and guarantee the work until final completion and acceptance, and to guarantee payment of all lawful claims for labor performed and materials furnished in the fulfillment of the contract.

Bidder represents and warrants that all statements and information prepared and submitted in this document are current, complete, true, and accurate. Submitting a Bid with a false statement or material misrepresentations made during the performance of a contract is a material breach of contract and may void the submitted Bid and any resulting contract.

Bidder certifies that the bid prices contained in this proposal have been carefully checked and are submitted as correct and final and agrees that in the event of discrepancy between words and figures for any price, the words shall control.

The Bidder represents to the Owner as follows:

- (1) That he has personally examined and verified the bid, together with all figures, work papers, and other data that were used in preparing this bid, and that he finds them in all things correct and that the bid is full, complete, and correct in every respect;
- (2) That if the Owner accepts his bid and awards the contract to him, that he, or the person or corporation for whom he is acting will execute a contract and will perform the work in accordance with the contract documents upon which the bid is based.
- (3) That he will comply with and will cause all subcontractors to comply with the requirement of Chapter 2258, Subchapter B, of the Texas Local Government Code to compensate workers at not less than the federally determined prevailing wage rates.

Item	Quantity	Unit	Description	Unit Price	Total Price
1.	1	LS	<b>Mobilization/Demobilization,</b> to/from project sites, insurance, performance and payment bonds.		
			DOLLARS		
			CENTS	\$	\$ *
			Lump Sum		
2.	1	LS	<b>Pre Outage Work,</b> provide all labor, materials, equipment, and tools, for equipment staging, rigging, inspections, scaffolding staging, and all other necessary work to prepare for unit outage, per the detailed scope, for		
			DOLLARS		
			CENTS	\$	\$ *
			Lump Sum		
3.	1	LS	<b>Intake Gate Inspection, Repairs, and Reassembly</b> including all labor, material, equipment, to perform all preparatory work, grate removals, stop log installations, inspections, report preparation and presentations, repair work, welding, and reassembly, including all other necessary work per the detail scope, for		
			DOLLARS		
			CENTS	\$	\$ *
			Lump Sum		

4.	1	LS	<b>Wicket Gate Inspection</b> , including all labor, materials, and equipment perform the gate inspections, cleaning, and replacement of components, including all other work necessary per the detailed scope, for			
				DOLLARS		
				CENTS	\$	\$ *
			Lump Sum			
5.	1	LS	<b>Turbine Inspection</b> , including all labor, materials, and equipment perform the turbine inspections, cleaning, and replacement of components, including all other work necessary per the detailed scope, for			
				DOLLARS		
				CENTS	\$	\$ *
			Lump Sum			
6.	1	LS	<b>Generator Inspection</b> , including all labor, materials, and equipment perform the inspections, cleaning, and replacement of components, including all other work necessary per the detailed scope, for			
				DOLLARS		
				CENTS	\$	\$ *
7.	1	LS	<b>ALLOWANCE – Miscellaneous Electrical Testing, at discretion and direction of Owner</b> including all labor, materials, and equipment perform inspections, , and testing of components, including all other work necessary per the detailed scope, for			
				Five Thousand DOLLARS		
				Zero CENTS	\$5000.00	\$ *
			Lump Sum			

8.	1	LS	<b>Auxiliary work</b> , including all labor, materials, and equipment for inspection and cleaning of Oil tanks, pumps, Air tanks, piping systems, and valves, including all other work necessary per the detailed scope, for			
				DOLLARS		
				CENTS	\$	\$ *
			Lump Sum			
9.	1	LS	<b>Generator Cooler Inspection</b> , including all labor, materials, and equipment to perform inspections, cleaning, and replacement of components, including all other work necessary per the detailed scope, for			
				DOLLARS		
				CENTS	\$	\$ *
			Lump Sum			
10.	1	LS	<b>Thrust Bearing Cooler Inspection</b> , including all labor, materials, and equipment to perform inspections, cleaning, and replacement of components, including all other work necessary per the detailed scope, for			
				DOLLARS		
				CENTS	\$	\$ *
			Lump Sum			

11.	1	Allow	<b>ALLOWANCE - Intake Gate Blasting and Coating, at discretion and direction of Owner,</b> provide all labor, materials, equipment, tools, to clean perform sand blasting and install coating system to the intake gates based on visual inspections after dewatering. Costs to be based on approved Time and Materials proposal at time of inspection.			
			Ten Thousand DOLLARS			
			Zero CENTS	\$10,000.00	\$	*
			Allowance			
12.	1	Allow	<b>ALLOWANCE - Wicket Gate Bushing, pin, and adjustment, at discretion and direction of Owner,</b> provide all labor, materials, equipment, tools, to remove and replace the pins, bushings and make gate adjustments. Costs to be based on approved Time and Materials proposal at time of inspection			
			Ten Thousand DOLLARS			
			Zero CENTS	\$10,000.00	\$	*
			Allowance			
13.	1	Allow	<b>ALLOWANCE - Wicket Gate and Turbine Welding, at discretion and direction of Owner,</b> provide all labor, materials, equipment, tools, to welding procedures/repairs to the wicket gates and Turbine. Costs to be based on approved Time and Materials proposal at time of inspection			
			Twenty Thousand DOLLARS			
			CENTS	\$20,000.00	\$	*
			Allowance			



14. **Remove/Install New Station Sump Pump 1**, including all labor, materials, and equipment provide scaffolding, lighting, removal and installation of sump pump connections, including all other work necessary per the detailed scope, for

	DOLLARS		
	CENTS	\$	\$ *
Per			

15. **Remove/Install Emergency Fire Tank Relief Valve**, including all labor, materials, and equipment provide scaffolding, removal and installation of PRV connections, including all other work necessary per the detailed scope, for

	DOLLARS		
	CENTS	\$	\$ *
Per			

16. **Remove/Install Governor Pumps and Motors**, including all labor, materials, and equipment, removal and installation of pumps and Motors connections, including all other work necessary per the detailed scope, for

	DOLLARS		
	CENTS	\$	\$ *
Per			

17.

**Remove Distribution Line Anchor Points**, including all labor, materials, and equipment provide manlift, crane and operator, removal of steel frames, and lower to the ground level, including all painting, and other work necessary per the scope, for

	DOLLARS		
	CENTS	\$	\$ *
Per			

\*Do not extend unit prices or total out bid items. Show unit price in words and figures only. In case of discrepancy, the amount shown in words will govern.

**Toledo Bend Project Joint Operation – Hydro Station Outage Unit #2**  
**RFB #26-001**

Total Base Bid:

(To be calculated by the Owner and/or Engineer. Bidder to complete Bid Opening Sheet)

(\$ \_\_\_\_\_).

*(Amount shall be shown in both words and figures. In case of discrepancy, the amount shown in words will govern.)*

The above unit prices shall include all labor, materials, tools, equipment, supervision, overhead, profit, insurance, etc., to cover the finished work of the several kinds called for.

Bidder understands that the Owner reserves the right to reject any or all bids and to waive any informality in the bidding.

The bidder agrees that his bid shall be good and may not be withdrawn for a period of 90 calendar days after the scheduled closing time for receiving bids.

Upon receipt of the Owner's written acceptance of this bid, Bidder will execute the formal contract within 10 days and deliver Bonds and Insurance as required under the General Conditions.

Seal & Authorization  
(If Corporation)

By: \_\_\_\_\_

Title: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

County: \_\_\_\_\_

Phone: \_\_\_\_\_

Bidder shall supply the following information for each principal in the firm:

Name:	
Mailing Address:	
City, State, Zip Code:	
Social Security Number:	
Name:	
Mailing Address:	
City, State, Zip Code:	
Social Security Number:	
Name:	
Mailing Address:	
City, State, Zip Code:	
Social Security Number:	
Name:	
Mailing Address:	
City, State, Zip Code:	
Social Security Number:	
Name:	
Mailing Address:	
City, State, Zip Code:	
Social Security Number:	
Name:	
Mailing Address:	
City, State, Zip Code:	
Social Security Number:	

## **BID BOND**

KNOW ALL PERSONS BY THESE PRESENTS,

That we, \_\_\_\_\_  
as Principal, and \_\_\_\_\_  
as Surety, are held firmly bound unto **the Sabine River Authority of Texas and the Sabine River Authority, State of Louisiana**, hereinafter called Owner, in the penal sum of \_\_\_\_\_ dollars, (\$ \_\_\_\_\_), lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH THAT, WHEREAS the Principal has submitted a Bid for the work described as follows:

**Toledo Bend Project Joint Operation  
Hydro Station Outage #2  
RFB #26-001**

NOW, THEREFORE, if the Principal shall not withdraw said Bid within the period specified therein after the opening of the same, or, if no period be specified, within thirty (30) days after the said opening, and shall within the period specified therefor, or if no period be specified, within ten (10) days after the prescribed forms are presented to him for signature, enter into a written Contract with the Owner in accordance with the Bid as accepted, and give bond with good and sufficient surety or sureties, as may be required, for the faithful performance and proper fulfillment of such contract; or in the event of the withdrawal of said Bid within the period specified, or the failure to enter into such Contract and give such bond within the time specified, if the Principal shall pay the Owner the difference between the amount specified in said Bid and the amount for which the Owner may procure the required work or supplies or both, if the latter be in excess of the former, then the above obligation shall be null and void, otherwise to remain in full force and effect.

IN WITNESS THEREOF, the above-bounded parties have executed this instrument under their several seals this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_, the name and corporate seal of each corporate party being hereto affixed, and these Presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Witness: \_\_\_\_\_

\_\_\_\_\_  
(Principal)

\_\_\_\_\_  
(Business Address)

By \_\_\_\_\_ Affix Corporate  
Seal

Title \_\_\_\_\_

\_\_\_\_\_  
(Corporate Surety)

\_\_\_\_\_  
(Address)

Witness: \_\_\_\_\_

By \_\_\_\_\_ Affix Corporate  
Seal

Title \_\_\_\_\_

(Power-of-attorney for person signing for Surety Company must be attached to bond.)

CERTIFICATE AS TO CORPORATE PRINCIPAL

I, \_\_\_\_\_, certify that I am the Secretary of the corporation named as Principal in the within bond; that \_\_\_\_\_, who signed the said bond on behalf of the Principal was then \_\_\_\_\_ of said corporation; that I know his signature, and his signature thereto is genuine; and that said bond was duly signed, sealed, and attested to for and in behalf of said corporation by authority of this governing body.

\_\_\_\_\_ Affix Corporate Seal



## **BID OPENING**

In the space provided below, enter your total Base Bid amount for this project. Only this figure will be read publicly at the public bid opening.

It is understood and agreed by the bidder in signing the proposal that the total bid amount entered below is not binding on either the bidder or the Owner. It is further agreed that the official total bid amount for this proposal will be determined by multiplying the unit prices for each unit price pay item by the respective estimated quantities shown in this proposal, and then totaling all of the extended amounts plus the amounts bid for all lump sum items.

Project: RFB #26-001  
Toledo Bend Project Joint Operation- Hydro Station Outage #2

Owner: Sabine River Authority of Texas  
Sabine River Authority, State of Louisiana  
Newton County, Texas

\$

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Total Base Bid Amount (Items 1-17)

---

Name of Bidder

## **VENDOR COMPLIANCE TO TEXAS STATE LAW**

Chapter 2252.002, of the Texas Government Code applies to the award of government contract to non-resident bidders. This law provides that:

“A government entity may not award a governmental contract to a nonresident bidder unless the nonresident underbids the lowest bid submitted by a responsible resident bidder by an amount that is less than the greater of the amount by which a resident bidder would be required to underbid the nonresident bidder to obtain a comparable contract in the state in which the nonresident’s principal place of business is located

“Nonresident Bidder” refers to a person who is not a resident of Texas

“Resident Bidder” refers to a person whose principal place of business is in this state, including a contractor whose ultimate parent company or majority owner has its principal place of business in this state.

Check the statement that is correct for Bidder.

- ☐ Non-resident bidders in \_\_\_\_\_ (give state), our principal place of business, are required to be \_\_\_\_\_ percent lower than resident bidders by state law. A copy of the statute is attached.
- ☐ Non-resident bidders in \_\_\_\_\_ (give state), our principal place of business, are not required to underbid resident bidders.
- ☐ Our principal place of business or corporate offices is in the State of Texas.

**BIDDER:**

By: \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

## **VENDOR COMPLIANCE TO LOUISIANA STATE LAW**

Louisiana R.S. 38:2225 applies to the award of public works contracts to nonresident bidders. This law provides that:

“If a nonresident contractor bidding on public work in the state of Louisiana is domiciled in a state that provides a percentage preference in favor of contractors domiciled in that state over Louisiana resident contractors for the same type of work, then every Louisiana resident contractor shall be granted the same preference over contractors domiciled in the other state favoring contractors domiciled therein whenever the nonresident contractor bids on public work in Louisiana.”

“Any local law, either by legislative act or otherwise, ordinance, or executive order enacted prior to the effective date of this Act, or enacted hereinafter in conflict with this Section, or granting any local contractor or subcontractor preference over other Louisiana resident contractors shall be contrary to the provision of this Section.”

“The provisions and requirements of this Section shall not be waived by any public entity.”

Check the statement that is correct for Bidder.

- ☐ Nonresident bidders in \_\_\_\_\_ (give state), our principal place of business, are required to be \_\_\_ percent lower than resident bidders by State law. A copy of the statute is attached.
- ☐ Nonresident bidders in \_\_\_\_\_ (give state), our principal place of business, are not required to under bid resident bidders.
- ☐ Our principal place of business or corporate offices are in the State of Louisiana.

Bidder:

Company Name: \_\_\_\_\_  
(typed or printed)

By: \_\_\_\_\_  
(Signature -- attach evidence of authority to sign)

Name: \_\_\_\_\_  
(typed or printed)

Title: \_\_\_\_\_  
(Signature of Corporate Secretary)

Business Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Facsimile: \_\_\_\_\_ E-mail \_\_\_\_\_

## **ATTESTATIONS**

Appearer, as a Bidder on the above-entitled Public Works Project, does hereby attest that:

### **LA. R.S. 38:2227 PAST CRIMINAL CONVICTIONS OF BIDDERS**

- A. No sole proprietor or individual partner, incorporator, director, manager, officer, organizer, or member who has a minimum of a ten percent (10%) ownership in the bidding entity named below has been convicted of, or has entered a plea of guilty or nolo contendere to any of the following state crimes or equivalent federal crimes:
- (a) Public bribery (R.S. 14:118)                      (c) Extortion (R.S. 14:66)  
(b) Corrupt influencing (R.S. 14:120)            (d) Money laundering (R.S. 14:23)
- B. Within the past five years from the project bid date, no sole proprietor or individual partner, incorporator, director, manager, officer, organizer, or member who has a minimum of a ten percent (10%) ownership in the bidding entity named below has been convicted of, or has entered a plea of guilty or nolo contendere to any of the following state crimes or equivalent federal crimes, during the solicitation or execution of a contract or bid awarded pursuant to the provisions of Chapter 10 of Title 38 of the Louisiana Revised Statutes:
- (a) Theft (R.S. 14:67)                                      (f) Bank fraud (R.S. 14:71.1)  
(b) Identity Theft (R.S. 14:67.16)                      (g) Forgery (R.S. 14:72)  
(c) Theft of a business record (R.S. 14:67.20)      (h) Contractors; misapplication of payments (R.S. 14:202)  
(d) False accounting (R.S. 14:70)                      (i) Malfeasance in office (R.S. 14:134)  
(e) Issuing worthless checks (R.S. 14:71)

### **LA. R.S. 38:2212.10 VERIFICATION OF EMPLOYEES**

- A. At the time of bidding, Appearer is registered and participates in a status verification system to verify that all new hires in the state of Louisiana are legal citizens of the United States or are legal aliens.
- B. If awarded the contract, Appearer shall continue, during the term of the contract, to utilize a status verification system to verify the legal status of all new employees in the state of Louisiana.
- C. If awarded the contract, Appearer shall require all subcontractors to submit to it a sworn affidavit verifying compliance with Paragraphs (A) and (B) of this Subsection.

\_\_\_\_\_  
NAME OF BIDDER

\_\_\_\_\_  
NAME OF AUTHORIZED SIGNATORY OF BIDDER

\_\_\_\_\_  
DATE

\_\_\_\_\_  
TITLE OF AUTHORIZED SIGNATORY OF BIDDER

\_\_\_\_\_  
SIGNATURE OF AUTHORIZED SIGNATORY OF BIDDER

## **AFFIDAVITS**

One of the following four affidavits shall be executed and provided with this information. The individual signing the affidavit shall attach evidence of their authority to bind the organization to an agreement.

AFFIDAVIT FOR CORPORATION

State \_\_\_\_\_) §

County/Parish of \_\_\_\_\_) §

\_\_\_\_\_, being duly sworn deposes and says.

*(Name)*

that they are \_\_\_\_\_ of the  
*(Title)*

\_\_\_\_\_ corporation submitting the foregoing qualification form and related information; have read such documents; and that such documents are true and correct and contain no material misrepresentations; and that they are authorized to make this affidavit on behalf of the Corporation.

\_\_\_\_\_  
*(Signature)*

Signed and sworn to me before this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
*(Notary Public)*

My commission expires:



AFFIDAVIT FOR PARTNERSHIP

State \_\_\_\_\_) §

County /Parish of \_\_\_\_\_) §

\_\_\_\_\_, being duly sworn deposes and says  
(Name)

that they are \_\_\_\_\_ of the  
(Title)

\_\_\_\_\_ company submitting the  
foregoing qualification form and related information; have read such documents; and  
that such documents are true and correct and contain no material misrepresentations;  
and that they are authorized to make this affidavit on behalf of the Partnership.

\_\_\_\_\_  
(Signature)

Signed and sworn to me before this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
(Notary Public)

My commission expires:

AFFIDAVIT FOR INDIVIDUAL

State \_\_\_\_\_) §

County/Parish of \_\_\_\_\_) §

\_\_\_\_\_, being duly sworn deposes and says.

*(Name)*

that they are \_\_\_\_\_ of the

*(Title)*

\_\_\_\_\_ company submitting the foregoing qualification form and related information; have read such documents; and that such documents are true and correct and contain no material misrepresentations.

\_\_\_\_\_  
*(Signature)*

Signed and sworn to me before this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
*(Notary Public)*

My commission expires:

JOINT VENTURE STATEMENT

We the undersigned do hereby give notice to our agreement to bid as a joint venture on the Project.

---

*(Name of Joint Venture)*

---

*(Name of Firm)*

---

*(Signature)*

Signed and sworn to me before this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

---

*(Notary Public)*

My commission expires: \_\_\_\_\_

---

*(Name of Firm)*

---

*(Signature)*

Signed and sworn to me before this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

---

*(Notary Public)*

My commission expires: \_\_\_\_\_

*END OF SECTION*

## **BONDING COMPANY INFORMATION**

The following person, firm, or corporation has agreed to execute the required payment and performance bonds in the event this contract is awarded to the bidder:

Name of Surety: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Is surety authorized to operate in Texas? \_\_\_\_\_

Is surety aware of size of project? \_\_\_\_\_

Does surety have adequate authorization and resources to cover bonds for the amount of this contract? \_\_\_\_\_

Rating from Best's Key Rating Guide \_\_\_\_\_

Project: **Toledo Bend Project Joint Operation**  
**Hydro Station Outage #2**  
**RFB #26-001**

\_\_\_\_\_  
Name of Bidder

**NON-COLLUSION AFFIDAVIT**  
**FOR PRIME CONTRACTOR**

State of \_\_\_\_\_ )  
 ) ss.  
County of \_\_\_\_\_ )

\_\_\_\_\_, being first duly sworn, deposes and says that:

(1) He is \_\_\_\_\_ of  
, the Bidder that has submitted the referenced Bid;

(2) He is fully informed respecting the preparation and contents of the referenced Bid submitted to \_\_\_\_\_ (Owner) in connection with  
(name of contract), and of pertinent circumstances respecting such Bid;

(3) Such Bid is genuine and is not a collusive or sham Bid;

(4) Neither the said Bidder nor any of its officers, partners, owners, agents, representatives, employees, or parties in interest, including this affiant, has in any way colluded, conspired, connived, or agreed, directly or indirectly, with any other Bidder, firm, or person to submit a collusive or sham Bid in connection with such Contract, or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communication or conference with any other Bidder, firm, or person to fix the price or prices in the referenced Bid or in the Bid of any other bidder, or to fix an overhead, profit, or cost element of the Bid price or the Bid price of any other Bidder, or to secure through collusion, conspiracy, connivance, or unlawful agreement any advantage against the (Owner) or any person interested in the proposed Contract; and

(5) The price or prices quoted in the referenced Bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affidavit.

(Signed) \_\_\_\_\_.

\_\_\_\_\_  
Title \_\_\_\_\_.

Subscribed and sworn to before me by the said \_\_\_\_\_ on this \_\_\_\_ day  
of \_\_\_\_\_, 20 \_\_\_\_.

By: \_\_\_\_\_.  
Notary Public

\_\_\_\_\_ County, \_\_\_\_\_

[Notary Seal]

My commission expires \_\_\_\_\_, 20 \_\_\_\_.

**NON-COLLUSION AFFIDAVIT**  
**FOR PROPOSED SUBCONTRACTOR**

State of \_\_\_\_\_ )  
 ) ss.  
County of \_\_\_\_\_ )

\_\_\_\_\_, being first duly sworn, deposes and says that:

(1) He is \_\_\_\_\_ of \_\_\_\_\_,  
hereinafter referred to as the "Subcontractor";

(2) He is fully informed respecting the preparation and contents of the subcontractor's Proposal submitted by the subcontractor to \_\_\_\_\_, the Contractor for certain work in connection with \_\_\_\_\_ (name of contract), for \_\_\_\_\_ (Owner);

(3) Such subcontractor's Proposal is genuine and is not a collusive or sham proposal;

(4) Neither the subcontractor nor any of its officers, partners, owners, agents, representatives, employees, or parties in interest, including this affiant, has in any way colluded, conspired, connived, or agreed, directly or indirectly, with any other Bidder, firm, or person to submit a collusive or sham Proposal in connection with such Contract, or to refrain from submitting a Proposal in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communication or conference with any other Bidder, firm, or person to fix the price or prices in said subcontractor's Proposal or any other subcontractor's proposal, or to secure through collusion, conspiracy, connivance, or unlawful agreement any advantage against the \_\_\_\_\_ (Owner) or any person interested in the proposed Contract; and

(5) The price or prices quoted in the subcontractor's Proposal are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affidavit.

(Signed) \_\_\_\_\_.

\_\_\_\_\_  
Title \_\_\_\_\_.

Subscribed and sworn to before me by the said  
on this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

By:

Notary Public  
\_\_\_\_\_ County, \_\_\_\_\_

[Notary Seal]

My commission expires \_\_\_\_\_, 20 \_\_\_\_.



## Request for Taxpayer Identification Number and Certification

► Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

Give Form to the  
requester. Do not  
send to the IRS.

Print or type.  
See Specific Instructions on page 3.

<b>1</b> Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
<b>2</b> Business name/disregarded entity name, if different from above	
<b>3</b> Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only <b>one</b> of the following seven boxes.  <input type="checkbox"/> Individual/sole proprietor or single-member LLC  <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► _____ <b>Note:</b> Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is <b>not</b> disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.  <input type="checkbox"/> Other (see instructions) ► _____	<b>4</b> Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  Exempt payee code (if any) _____  Exemption from FATCA reporting code (if any) _____  <small>(Applies to accounts maintained outside the U.S.)</small>
<b>5</b> Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
<b>6</b> City, state, and ZIP code	
<b>7</b> List account number(s) here (optional)	

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

<b>Social security number</b>									
				-					
<b>or</b>									
<b>Employer identification number</b>									
				-					

### Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

<b>Sign Here</b>	<b>Signature of U.S. person ►</b>	<b>Date ►</b>
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## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
  - Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
  - Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
  - Form 1099-S (proceeds from real estate transactions)
  - Form 1099-K (merchant card and third party network transactions)
  - Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
  - Form 1099-C (canceled debt)
  - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.
- If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.*

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting*, later, for further information.

**Note:** If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

**Definition of a U.S. person.** For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

**Special rules for partnerships.** Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States.

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

**Foreign person.** If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Pub. 515, *Withholding of Tax on Nonresident Aliens and Foreign Entities*).

**Nonresident alien who becomes a resident alien.** Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items.

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

**Example.** Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

## Backup Withholding

**What is backup withholding?** Persons making certain payments to you must under certain conditions withhold and pay to the IRS 24% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

**Payments you receive will be subject to backup withholding if:**

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the instructions for Part II for details),
3. The IRS tells the requester that you furnished an incorrect TIN,
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code*, later, and the separate Instructions for the Requester of Form W-9 for more information.

Also see *Special rules for partnerships*, earlier.

## What is FATCA Reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code*, later, and the Instructions for the Requester of Form W-9 for more information.

## Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

## Penalties

**Failure to furnish TIN.** If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

**Civil penalty for false information with respect to withholding.** If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

**Criminal penalty for falsifying information.** Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

**Misuse of TINs.** If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

## Specific Instructions

### Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account (other than an account maintained by a foreign financial institution (FFI)), list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9. If you are providing Form W-9 to an FFI to document a joint account, each holder of the account that is a U.S. person must provide a Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

**Note: ITIN applicant:** Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.

c. **Partnership, LLC that is not a single-member LLC, C corporation, or S corporation.** Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.

e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

### Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

### Line 3

Check the appropriate box on line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box on line 3.

IF the entity/person on line 1 is a(n) . . .	THEN check the box for . . .
• Corporation	Corporation
• Individual • Sole proprietorship, or • Single-member limited liability company (LLC) owned by an individual and disregarded for U.S. federal tax purposes.	Individual/sole proprietor or single-member LLC
• LLC treated as a partnership for U.S. federal tax purposes, • LLC that has filed Form 8832 or 2553 to be taxed as a corporation, or • LLC that is disregarded as an entity separate from its owner but the owner is another LLC that is not disregarded for U.S. federal tax purposes.	Limited liability company and enter the appropriate tax classification. (P= Partnership; C= C corporation; or S= S corporation)
• Partnership	Partnership
• Trust/estate	Trust/estate

### Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space on line 4 any code(s) that may apply to you.

#### Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5—A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8—A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10—A common trust fund operated by a bank under section 584(a)
- 11—A financial institution
- 12—A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 <sup>1</sup>	Generally, exempt payees 1 through 5 <sup>2</sup>
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

<sup>1</sup> See Form 1099-MISC, Miscellaneous Income, and its instructions.

<sup>2</sup> However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

**Exemption from FATCA reporting code.** The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B—The United States or any of its agencies or instrumentalities

C—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G—A real estate investment trust

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I—A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K—A broker

L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

**Note:** You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

## Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns. If this address differs from the one the requester already has on file, write NEW at the top. If a new address is provided, there is still a chance the old address will be used until the payor changes your address in their records.

## Line 6

Enter your city, state, and ZIP code.

## Part I. Taxpayer Identification Number (TIN)

**Enter your TIN in the appropriate box.** If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN.

If you are a single-member LLC that is disregarded as an entity separate from its owner, enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

**Note:** See *What Name and Number To Give the Requester*, later, for further clarification of name and TIN combinations.

**How to get a TIN.** If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at [www.SSA.gov](http://www.SSA.gov). You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at [www.irs.gov/Businesses](http://www.irs.gov/Businesses) and clicking on Employer Identification Number (EIN) under Starting a Business. Go to [www.irs.gov/Forms](http://www.irs.gov/Forms) to view, download, or print Form W-7 and/or Form SS-4. Or, you can go to [www.irs.gov/OrderForms](http://www.irs.gov/OrderForms) to place an order and have Form W-7 and/or SS-4 mailed to you within 10 business days.

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

**Note:** Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

**Caution:** A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

## Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if item 1, 4, or 5 below indicates otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code*, earlier.

**Signature requirements.** Complete the certification as indicated in items 1 through 5 below.



**1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.** You must give your correct TIN, but you do not have to sign the certification.

**2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.** You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

**3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.

**4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

**5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), ABLE accounts (under section 529A), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

## What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account) other than an account maintained by an FFI	The actual owner of the account or, if combined funds, the first individual on the account <sup>1</sup>
3. Two or more U.S. persons (joint account maintained by an FFI)	Each holder of the account
4. Custodial account of a minor (Uniform Gift to Minors Act)	The minor <sup>2</sup>
5. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee <sup>1</sup>
b. So-called trust account that is not a legal or valid trust under state law	The actual owner <sup>1</sup>
6. Sole proprietorship or disregarded entity owned by an individual	The owner <sup>3</sup>
7. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
8. Disregarded entity not owned by an individual	The owner
9. A valid trust, estate, or pension trust	Legal entity <sup>4</sup>
10. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
11. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
12. Partnership or multi-member LLC	The partnership
13. A broker or registered nominee	The broker or nominee

For this type of account:	Give name and EIN of:
14. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
15. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B))	The trust

<sup>1</sup> List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

<sup>2</sup> Circle the minor's name and furnish the minor's SSN.

<sup>3</sup> You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

<sup>4</sup> List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships*, earlier.

**\*Note:** The grantor also must provide a Form W-9 to trustee of trust.

**Note:** If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

## Secure Your Tax Records From Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Pub. 5027, Identity Theft Information for Taxpayers.

Victims of identity theft who are experiencing economic harm or a systemic problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

**Protect yourself from suspicious emails or phishing schemes.** Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to [phishing@irs.gov](mailto:phishing@irs.gov). You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at [spam@uce.gov](mailto:spam@uce.gov) or report them at [www.ftc.gov/complaint](http://www.ftc.gov/complaint). You can contact the FTC at [www.ftc.gov/idtheft](http://www.ftc.gov/idtheft) or 877-IDTHEFT (877-438-4338). If you have been the victim of identity theft, see [www.IdentityTheft.gov](http://www.IdentityTheft.gov) and Pub. 5027.

Visit [www.irs.gov/identitytheft](http://www.irs.gov/identitytheft) to learn more about identity theft and how to reduce your risk.

## Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.

# CONFLICT OF INTEREST QUESTIONNAIRE

For vendor doing business with local governmental entity

FORM CIQ

This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session.

This questionnaire is being filed in accordance with Chapter 176, Local Government Code, by a vendor who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the vendor meets requirements under Section 176.006(a).

By law this questionnaire must be filed with the records administrator of the local governmental entity not later than the 7th business day after the date the vendor becomes aware of facts that require the statement to be filed. See Section 176.006(a-1), Local Government Code.

A vendor commits an offense if the vendor knowingly violates Section 176.006, Local Government Code. An offense under this section is a misdemeanor.

## OFFICE USE ONLY

Date Received

**1** Name of vendor who has a business relationship with local governmental entity.

**2** ☐ Check this box if you are filing an update to a previously filed questionnaire. (The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date on which you became aware that the originally filed questionnaire was incomplete or inaccurate.)

**3** Name of local government officer about whom the information is being disclosed.

\_\_\_\_\_  
Name of Officer

**4** Describe each employment or other business relationship with the local government officer, or a family member of the officer, as described by Section 176.003(a)(2)(A). Also describe any family relationship with the local government officer. Complete subparts A and B for each employment or business relationship described. Attach additional pages to this Form CIQ as necessary.

A. Is the local government officer or a family member of the officer receiving or likely to receive taxable income, other than investment income, from the vendor?

☐

Yes

☐

No

B. Is the vendor receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer or a family member of the officer AND the taxable income is not received from the local governmental entity?

☐

Yes

☐

No

**5** Describe each employment or business relationship that the vendor named in Section 1 maintains with a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership interest of one percent or more.

**6** ☐ Check this box if the vendor has given the local government officer or a family member of the officer one or more gifts as described in Section 176.003(a)(2)(B), excluding gifts described in Section 176.003(a-1).

**7**

\_\_\_\_\_  
Signature of vendor doing business with the governmental entity

\_\_\_\_\_  
Date

## **CONFLICT OF INTEREST QUESTIONNAIRE**

### **For vendor doing business with local governmental entity**

A complete copy of Chapter 176 of the Local Government Code may be found at <http://www.statutes.legis.state.tx.us/Docs/LG/htm/LG.176.htm>. For easy reference, below are some of the sections cited on this form.

**Local Government Code § 176.001(1-a):** "Business relationship" means a connection between two or more parties based on commercial activity of one of the parties. The term does not include a connection based on:

- (A) a transaction that is subject to rate or fee regulation by a federal, state, or local governmental entity or an agency of a federal, state, or local governmental entity;
- (B) a transaction conducted at a price and subject to terms available to the public; or
- (C) a purchase or lease of goods or services from a person that is chartered by a state or federal agency and that is subject to regular examination by, and reporting to, that agency.

**Local Government Code § 176.003(a)(2)(A) and (B):**

(a) A local government officer shall file a conflicts disclosure statement with respect to a vendor if:

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(2) the vendor:

(A) has an employment or other business relationship with the local government officer or a family member of the officer that results in the officer or family member receiving taxable income, other than investment income, that exceeds \$2,500 during the 12-month period preceding the date that the officer becomes aware that

(i) a contract between the local governmental entity and vendor has been executed;

or

(ii) the local governmental entity is considering entering into a contract with the vendor;

(B) has given to the local government officer or a family member of the officer one or more gifts that have an aggregate value of more than \$100 in the 12-month period preceding the date the officer becomes aware that:

(i) a contract between the local governmental entity and vendor has been executed; or

(ii) the local governmental entity is considering entering into a contract with the vendor.

**Local Government Code § 176.006(a) and (a-1)**

(a) A vendor shall file a completed conflict of interest questionnaire if the vendor has a business relationship with a local governmental entity and:

(1) has an employment or other business relationship with a local government officer of that local governmental entity, or a family member of the officer, described by Section 176.003(a)(2)(A);

(2) has given a local government officer of that local governmental entity, or a family member of the officer, one or more gifts with the aggregate value specified by Section 176.003(a)(2)(B), excluding any gift described by Section 176.003(a-1); or

(3) has a family relationship with a local government officer of that local governmental entity.

(a-1) The completed conflict of interest questionnaire must be filed with the appropriate records administrator not later than the seventh business day after the later of:

(1) the date that the vendor:

(A) begins discussions or negotiations to enter into a contract with the local governmental entity; or

(B) submits to the local governmental entity an application, response to a request for proposals or bids, correspondence, or another writing related to a potential contract with the local governmental entity; or

(2) the date the vendor becomes aware:

(A) of an employment or other business relationship with a local government officer, or a family member of the officer, described by Subsection (a);

(B) that the vendor has given one or more gifts described by Subsection (a); or

(C) of a family relationship with a local government officer.



## **PREVAILING WAGE RATES**

"General Decision Number: TX20250213 07/04/2025

Superseded General Decision Number: TX20240213

State: Texas

Construction Type: Building

Counties: Lee, Limestone, Newton, San Augustine, Shelby and Washington Counties in Texas.

BUILDING CONSTRUCTION PROJECTS (does not include single family homes or apartments up to and including 4 stories).

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658.

Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(1).

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If the contract is entered	. Executive Order 14026
into on or after January 30,	generally applies to the
2022, or the contract is	contract.
renewed or extended (e.g., an	. The contractor must pay
option is exercised) on or	all covered workers at
after January 30, 2022:	least \$17.75 per hour (or

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	the applicable wage rate
	listed on this wage
	determination, if it is
	higher) for all hours
	spent performing on the
	contract in 2025.
<hr/>	
_____	
If the contract was awarded on	. Executive Order 13658
or between January 1, 2015 and	generally applies to the
January 29, 2022, and the	contract.
contract is not renewed or	. The contractor must pay
all	
extended on or after January	covered workers at least
30, 2022:	\$13.30 per hour (or the
	applicable wage rate
listed	on this wage
determination,	if it is higher) for all
	hours spent performing on
	that contract in 2025.
<hr/>	
_____	

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for

performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at <http://www.dol.gov/whd/govcontracts>.

Modification Number	Publication Date
0	01/03/2025
1	03/07/2025
2	03/14/2025
3	05/16/2025
4	06/20/2025
5	07/04/2025

\* ASBE0021-007 06/01/2025

LIMESTONE, SAN AUGUSTINE, AND SHELBY COUNTIES

	Rates	Fringes
Heat and Frost		
Insulator/Asbestos Worker.....	\$ 33.23	7.52
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ASBE0022-003 07/01/2024

	Rates	Fringes
ASBESTOS WORKER/HEAT & FROST		
INSULATOR.....	\$ 30.20	12.38
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ASBE0053-002 09/02/2024

Newton County

	Rates	Fringes
ASBESTOS WORKER/HEAT & FROST		
INSULATOR.....	\$ 32.66	9.86

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ASBE0087-005 06/03/2024

Lee County

	Rates	Fringes
ASBESTOS WORKER/HEAT & FROST INSULATOR.....	\$ 29.50	8.79

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BOIL0074-007 01/01/2025

Lee, Limestone, and Washington Counties

	Rates	Fringes
Boilermaker.....	\$ 33.17	24.92

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BOIL0587-005 01/01/2025

Newton, San Augustine, and Shelby Counties

	Rates	Fringes
Boilermaker.....	\$ 33.17	24.92

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\* CARP0551-007 04/01/2025

	Rates	Fringes
CARPENTER (Form Work Only).....	\$ 33.27	9.73

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IRON0084-004 06/01/2024

Washington County

	Rates	Fringes
IRONWORKER, STRUCTURAL AND		

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REINFORCING.....	\$ 28.26	8.13
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IRON0135-003 09/01/2022

Newton and San Augustine Counties

	Rates	Fringes
IRONWORKER, REINFORCING AND STRUCTURAL.....	\$ 34.35	14.44
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IRON0263-024 06/01/2024

Shelby County

	Rates	Fringes
Ironworker, reinforcing and structural.....	\$ 28.64	7.93
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\* IRON0482-010 06/01/2025

Lee and Limestone Counties

	Rates	Fringes
IRONWORKER, STRUCTURAL AND REINFORCING.....	\$ 28.30	8.23
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LAB00154-005 05/01/2024

Lee County

	Rates	Fringes
Laborers: (Mason Tender - Cement/Concrete).....	\$ 25.27	9.57
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LAB00154-019 06/01/2024

Newton, San Augustine, and Washington Counties

	Rates	Fringes
Laborers: (Mason Tender - Cement/Concrete) .....	\$ 25.27	9.57
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-----		
LAB00154-025 05/01/2024		

Limestone and Shelby Counties

	Rates	Fringes
Laborers: (Mason Tender - Cement/Concrete) .....	\$ 25.27	9.57
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-----		
PLUM0068-005 10/01/2024		

	Rates	Fringes
PLUMBER		
Lee & Washington Counties...	\$ 39.98	11.61
Newton, San Augustine, & Shelby Counties .....	\$ 39.98	11.61
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-----		
PLUM0100-007 11/01/2024		

SAN AUGUSTINE & SHELBY COUNTIES

	Rates	Fringes
PLUMBER .....	\$ 39.76	14.04
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-----		
PLUM0529-003 10/01/2024		

Limestone County

	Rates	Fringes
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Plumber.....	\$ 32.85		12.74
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* SUTX2009-100 04/20/2009			
	Rates		Fringes
BRICKLAYER.....	\$ 18.00		0.00
CARPENTER, Includes Acoustical Ceiling Installation, Batt Insulation, and Metal Stud Installation (Excludes Drywall Hanging, and Form Work).....	\$ 15.13 **		2.63
CEMENT MASON/CONCRETE FINISHER...	\$ 12.09 **		0.00
DRYWALL HANGER.....	\$ 13.89 **		1.00
ELECTRICIAN.....	\$ 18.06		4.87
LABORER: Common or General.....	\$ 9.24 **		0.00
LABORER: Landscape & Irrigation.....	\$ 8.50 **		0.22
LABORER: Mason Tender - Brick...	\$ 12.02 **		0.00
LABORER: Mortar Mixer.....	\$ 12.00 **		0.00
OPERATOR: Backhoe/Excavator/Trackhoe.....	\$ 14.67 **		0.47
OPERATOR: Bulldozer.....	\$ 13.00 **		0.35
OPERATOR: Crane.....	\$ 21.33		0.00
OPERATOR: Forklift.....	\$ 14.58 **		0.00
OPERATOR: Loader (Front End)....	\$ 10.54 **		0.00
PAINTER: Brush, Roller and			

Spray.....	\$ 11.75 **	0.00
ROOFER.....	\$ 13.64 **	1.80
SHEET METAL WORKER.....	\$ 17.00 **	0.00
TILE SETTER.....	\$ 15.00 **	0.00
TRUCK DRIVER.....	\$ 10.68 **	0.34
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WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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\*\* Workers in this classification may be entitled to a higher minimum wage under Executive Order 14026 (\$17.75) or 13658 (\$13.30). Please see the Note at the top of the wage determination for more information. Please also note that the minimum wage requirements of Executive Order 14026 are not currently being enforced as to any contract or subcontract to which the states of Texas, Louisiana, or Mississippi, including their agencies, are a party.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their



own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at <https://www.dol.gov/agencies/whd/government-contracts>.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (iii)).

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The body of each wage determination lists the classifications and wage rates that have been found to be prevailing for the type(s) of construction and geographic area covered by the wage determination. The classifications are listed in alphabetical order under rate identifiers indicating whether the particular rate is a union rate (current union negotiated rate), a survey rate, a weighted union average rate, a state adopted rate, or a supplemental classification rate.

## Union Rate Identifiers

A four-letter identifier beginning with characters other than "SU", "UAVG", ?SA?, or ?SC? denotes that a union rate was prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2024. PLUM is an identifier of the union whose collectively bargained rate prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. The date, 07/01/2024 in the example, is the effective date of the most current negotiated rate.

Union prevailing wage rates are updated to reflect all changes over time that are reported to WHD in the rates in the collective bargaining agreement (CBA) governing the classification.

## Union Average Rate Identifiers

The UAVG identifier indicates that no single rate prevailed for those classifications, but that 100% of the data reported for the classifications reflected union rates. EXAMPLE: UAVG-OH-0010 01/01/2024. UAVG indicates that the rate is a weighted union average rate. OH indicates the State of Ohio. The next number, 0010 in the example, is an internal number used in producing the wage determination. The date, 01/01/2024 in the example, indicates the date the wage determination was

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updated to reflect the most current union average rate.

A UAVG rate will be updated once a year, usually in January, to reflect a weighted average of the current rates in the collective bargaining agreements on which the rate is based.

### Survey Rate Identifiers

The "SU" identifier indicates that either a single non-union rate prevailed (as defined in 29 CFR 1.2) for this classification in the survey or that the rate was derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As a weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SUFL2022-007 6/27/2024. SU indicates the rate is a single non-union prevailing rate or a weighted average of survey data for that classification. FL indicates the State of Florida. 2022 is the year of the survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. The date, 6/27/2024 in the example, indicates the survey completion date for the classifications and rates under that identifier.

?SU? wage rates typically remain in effect until a new survey is conducted. However, the Wage and Hour Division (WHD) has the discretion to update such rates under 29 CFR 1.6(c)(1).

### State Adopted Rate Identifiers

The ""SA"" identifier indicates that the classifications and prevailing wage rates set by a state (or local) government were adopted under 29 C.F.R 1.3(g)-(h). Example: SAME2023-007 01/03/2024. SA reflects that the rates are state adopted. ME refers to the State of Maine. 2023 is the year during which the state completed the survey on which the listed classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. The date, 01/03/2024 in the example, reflects the date on which the classifications and rates under the ?SA? identifier took effect under state law in the state from which the rates were adopted.

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#### WAGE DETERMINATION APPEALS PROCESS

1) Has there been an initial decision in the matter? This can be:

- a) a survey underlying a wage determination
- b) an existing published wage determination
- c) an initial WHD letter setting forth a position on a wage determination matter
- d) an initial conformance (additional classification and rate) determination

On survey related matters, initial contact, including requests for summaries of surveys, should be directed to the WHD Branch of Wage Surveys. Requests can be submitted via email to [davisbaconinfo@dol.gov](mailto:davisbaconinfo@dol.gov) or by mail to:

Branch of Wage Surveys  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

Regarding any other wage determination matter such as conformance decisions, requests for initial decisions should be directed to the WHD Branch of Construction Wage Determinations. Requests can be submitted via email to [BCWD-Office@dol.gov](mailto:BCWD-Office@dol.gov) or by mail to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2) If an initial decision has been issued, then any interested party (those affected by the action) that disagrees with the decision can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Requests for review and reconsideration can be submitted via email to [dba.reconsideration@dol.gov](mailto:dba.reconsideration@dol.gov) or by mail to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and any information (wage payment data, project description, area practice material, etc.) that

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the requestor considers relevant to the issue.

3) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210.

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END OF GENERAL DECISION"

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## Qualification Statements

### ARTICLE 1—GENERAL INFORMATION

1.01 Provide contact information for the Business:

Legal Name of Business:			
Corporate Office			
Name:		Phone number:	
Title:		Email address:	
Business address of corporate office:			
Local Office			
Name:		Phone number:	
Title:		Email address:	
Business address of local office:			

### ARTICLE 2—DIVERSE BUSINESS CERTIFICATIONS

2.01 Provide information regarding Business's Diverse Business Certification, if any. Provide evidence of current certification.

Certification	Certifying Agency	Certification Date
<input type="checkbox"/> Disadvantaged Business Enterprise		
<input type="checkbox"/> Minority Business Enterprise		
<input type="checkbox"/> Woman-Owned Business Enterprise		
<input type="checkbox"/> Small Business Enterprise		
<input type="checkbox"/> Disabled Business Enterprise		
<input type="checkbox"/> Veteran-Owned Business Enterprise		
<input type="checkbox"/> Service-Disabled Veteran-Owned Business		
<input type="checkbox"/> HUBZone Business (Historically Underutilized) Business		
<input type="checkbox"/> Other		
<input type="checkbox"/> None		

### ARTICLE 3—CONSTRUCTION EXPERIENCE

3.01 Provide information that will identify the overall size and capacity of the Business.

Average number of current full-time employees:	
Estimate of revenue for the current year:	
Estimate of revenue for the previous year:	

3.02 Provide information regarding the Business's previous contracting experience.

Years of experience with projects like the proposed project:				
As a general contractor:		As a joint venturer:		
Has Business, or a predecessor in interest, or an affiliate identified in Paragraph 1.03:				
Been disqualified as a bidder by any local, state, or federal agency within the last 5 years? <input type="checkbox"/> Yes <input type="checkbox"/> No				
Been barred from contracting by any local, state, or federal agency within the last 5 years? <input type="checkbox"/> Yes <input type="checkbox"/> No				
Been released from a bid in the past 5 years? <input type="checkbox"/> Yes <input type="checkbox"/> No				
Defaulted on a project or failed to complete any contract awarded to it? <input type="checkbox"/> Yes <input type="checkbox"/> No				
Refused to construct or refused to provide materials defined in the contract documents or in a change order? <input type="checkbox"/> Yes <input type="checkbox"/> No				
Been a party to any currently pending litigation or arbitration? <input type="checkbox"/> Yes <input type="checkbox"/> No				
Provide full details in a separate attachment if the response to any of these questions is Yes.				

3.03 List all projects currently under contract in Schedule A and provide indicated information.

3.04 List a minimum of three and a maximum of six projects completed in the last 5 years in Schedule B and provide indicated information to demonstrate the Business's experience with projects similar in type and cost of construction.

3.05 In Schedule C, provide information on key individuals whom Business intends to assign to the Project. Provide resumes for those individuals included in Schedule C. Key individuals include the Project Manager, Project Superintendent, Quality Manager, and Safety Manager. Resumes may be provided for Business's key leaders as well.

### ARTICLE 4—REQUIRED ATTACHMENTS

4.01 Provide the following information with the Statement of Qualifications:

- A. Schedule A (Current Projects) as required by Paragraph 8.03.
- B. Schedule B (Previous Experience with Similar Projects) as required by Paragraph 8.04.
- C. Schedule C (Key Individuals) and resumes for the key individuals listed, as required by Paragraph 8.05.
- D. Financial Statements shall be provided upon request.



This Statement of Qualifications is offered by:

Business:

\_\_\_\_\_  
*(typed or printed name of organization)*

By:

\_\_\_\_\_  
*(individual's signature)*

Name:

\_\_\_\_\_  
*(typed or printed)*

Title:

\_\_\_\_\_  
*(typed or printed)*

Date:

\_\_\_\_\_  
*(date signed)*

*(If Business is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.)*

Attest:

\_\_\_\_\_  
*(individual's signature)*

Name:

\_\_\_\_\_  
*(typed or printed)*

Title:

\_\_\_\_\_  
*(typed or printed)*

Address for giving notices:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Designated Representative:

Name:

\_\_\_\_\_  
*(typed or printed)*

Title:

\_\_\_\_\_  
*(typed or printed)*

Address:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Phone:

\_\_\_\_\_

Email:

\_\_\_\_\_

**Schedule A—Current Projects**

Name of Organization					
Project Owner			Project Name		
General Description of Project					
Project Cost			Date Project		
Key Project Personnel	Project Manager	Project Superintendent	Safety Manager	Quality Control Manager	
Name					
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)					
	Name	Title/Position	Organization	Telephone	Email
Owner					
Designer					
Construction Manager					

Project Owner			Project Name		
General Description of Project					
Project Cost			Date Project		
Key Project Personnel	Project Manager	Project Superintendent	Safety Manager	Quality Control Manager	
Name					
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)					
	Name	Title/Position	Organization	Telephone	Email
Owner					
Designer					
Construction Manager					

Project Owner			Project Name		
General Description of Project					
Project Cost			Date Project		
Key Project Personnel	Project Manager	Project Superintendent	Safety Manager	Quality Control Manager	
Name					
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)					
	Name	Title/Position	Organization	Telephone	Email
Owner					
Designer					
Construction Manager					

**Schedule B—Previous Experience with Similar Projects**

Name of Organization					
Project Owner			Project Name		
General Description of Project					
Project Cost			Date Project		
Key Project Personnel	Project Manager	Project Superintendent	Safety Manager	Quality Control Manager	
Name					
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)					
	Name	Title/Position	Organization	Telephone	Email
Owner					
Designer					
Construction Manager					

Project Owner			Project Name		
General Description of Project					
Project Cost			Date Project		
Key Project Personnel	Project Manager	Project Superintendent	Safety Manager	Quality Control Manager	
Name					
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)					
	Name	Title/Position	Organization	Telephone	Email
Owner					
Designer					
Construction Manager					

Project Owner			Project Name		
General Description of Project					
Project Cost			Date Project		
Key Project Personnel	Project Manager	Project Superintendent	Safety Manager	Quality Control Manager	
Name					
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)					
	Name	Title/Position	Organization	Telephone	Email
Owner					
Designer					
Construction Manager					

**Schedule B—Previous Experience with Similar Projects**

Name of Organization					
Project Owner			Project Name		
General Description of Project					
Project Cost			Date Project		
Key Project Personnel	Project Manager	Project Superintendent	Safety Manager	Quality Control Manager	
Name					
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)					
	Name	Title/Position	Organization	Telephone	Email
Owner					
Designer					
Construction Manager					

Project Owner			Project Name		
General Description of Project					
Project Cost			Date Project		
Key Project Personnel	Project Manager	Project Superintendent	Safety Manager	Quality Control Manager	
Name					
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)					
	Name	Title/Position	Organization	Telephone	Email
Owner					
Designer					
Construction Manager					

Project Owner			Project Name		
General Description of Project					
Project Cost			Date Project		
Key Project Personnel	Project Manager	Project Superintendent	Safety Manager	Quality Control Manager	
Name					
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)					
	Name	Title/Position	Organization	Telephone	Email
Owner					
Designer					
Construction Manager					

## Schedule C—Key Individuals

<b>Project Manager</b>			
Name of individual			
Years of experience as project manager			
Years of experience with this organization			
Number of similar projects as project manager			
Number of similar projects in other positions			
Current Project Assignments			
Name of assignment		Percent of time used for this project	Estimated project completion date
Reference Contact Information (listing names indicates approval to contact named individuals as a reference)			
Name		Name	
Title/Position		Title/Position	
Organization		Organization	
Telephone		Telephone	
Email		Email	
Project		Project	
Candidate's role on project		Candidate's role on project	
<b>Project Superintendent</b>			
Name of individual			
Years of experience as project superintendent			
Years of experience with this organization			
Number of similar projects as project superintendent			
Number of similar projects in other positions			
Current Project Assignments			
Name of assignment		Percent of time used for this project	Estimated project completion date
Reference Contact Information (listing names indicates approval to contact named individuals as a reference)			
Name		Name	
Title/Position		Title/Position	
Organization		Organization	
Telephone		Telephone	
Email		Email	
Project		Project	
Candidate's role on project		Candidate's role on project	

<b>Safety Manager</b>			
Name of individual			
Years of experience as project manager			
Years of experience with this organization			
Number of similar projects as project manager			
Number of similar projects in other positions			
Current Project Assignments			
Name of assignment		Percent of time used for this project	Estimated project completion date
Reference Contact Information (listing names indicates approval to contact named individuals as a reference)			
Name		Name	
Title/Position		Title/Position	
Organization		Organization	
Telephone		Telephone	
Email		Email	
Project		Project	
Candidate's role on project		Candidate's role on project	
<b>Quality Control Manager</b>			
Name of individual			
Years of experience as project superintendent			
Years of experience with this organization			
Number of similar projects as project superintendent			
Number of similar projects in other positions			
Current Project Assignments			
Name of assignment		Percent of time used for this project	Estimated project completion date
Reference Contact Information (listing names indicates approval to contact named individuals as a reference)			
Name		Name	
Title/Position		Title/Position	
Organization		Organization	
Telephone		Telephone	
Email		Email	
Project		Project	
Candidate's role on project		Candidate's role on project	

## **GENERAL CONDITIONS**

### **1. Definitions**

Whenever used in any of the contract Documents, the following meanings shall be given to the terms here in defined:

- a) The term "Contract" means the Contract executed between the **Sabine River Authority of Texas and Sabine River Authority, State of Louisiana** hereinafter called the Owner and \_\_\_\_\_, hereinafter called Contractor, of which these General Conditions, form a part.
- b) The term "Project Area" means the area within which are the specified Contract limits of the Improvements contemplated to be constructed in whole or in part under this contract.
- c) The term "Engineer" means the Owner's Engineer in charge, serving the Owner with architectural or engineering services, his successor, or any other person or persons, employed by the Owner for the purpose of directing or having in charge the work embraced in this Contract.
- d) The term "Contract Documents" means and shall include the following: Executed Contract, Addenda (if any), Invitation for Bids, Instructions to Bidders, Signed Copy of Bid, General Conditions, Special Conditions, Technical Specifications, and Drawings.

### **2. Supervision By Contractor**

- a) Except where the Contractor is an individual and gives his personal supervision to the work, the Contractor shall provide a competent superintendent, satisfactory to the Owner and the Engineer, on the work at all times during working hours with full authority to act for him. The Contractor shall also provide an adequate staff for the proper coordination and expediting of his work.
- b) The Contractor shall lay out his own work and he shall be responsible for all work executed by him under the Contract. He shall verify all figures and elevations before proceeding with the work and will be held responsible for any error resulting from his failure to do so.

### **3. Subcontracts**

- a) The Contractor shall not execute an agreement with any subcontractor or permit any subcontractor to perform any work included in this Contract until he has verified the subcontractor is acceptable with the Owner.
- b) No proposed subcontractor shall be disapproved by the Owner except for cause.
- c) The Contractor shall be fully responsible to the Owner for the acts and omissions of his subcontractors, and of persons either directly or indirectly employed by them.
- d) The Contractor shall cause appropriate provisions to be inserted in all subcontracts relative to the work and required compliance by each subcontractor with the applicable provisions of the Contract.
- e) Nothing contained in the Contract shall create any contractual relation between any subcontractor and the Owner.

### **4. Payments to Contractor**

- a) Partial Payments

- The Contractor shall prepare his requisition for partial payment as of the last day of the month and submit it, with the required number of copies, to the Owner for his approval. The amount of the payment due the Contractor shall be determined by adding to the total value of work completed to date, the value of materials properly stored on the site and deducting (1) retainage and (2) the amount of all previous payments. The total value of work completed to date shall be based on the estimated quantities of work completed and on the unit prices contained in the agreement. The value of materials properly stored on the site shall be based upon the estimated quantities of such materials and the invoice prices. Copies of all invoices shall be available for inspection of the Engineer.
- The Contractor shall be responsible for the care and protection of all materials and work upon which payments have been made until final acceptance of such work and materials by the Owner. Such payments shall not constitute a waiver of the right of the Owner to require the fulfillment of all terms of the Contract and the delivery of all improvements embraced in this contract complete and satisfactory to the Owner in all details.
- Retainage shall be ten percent (10%) of the amount otherwise due until at least fifty percent of the work has been completed. After the project is fifty percent completed, and the Owner finds that satisfactory progress is being made, then the Owner may authorize any of the remaining progress payments to be made in full. The Owner is not obligated to pay interest earned on the first 50% of work completed (Texas Water Code 49.276(d))
- Monthly or partial payments made by the Owner to the Contractor are moneys advanced for the purpose of assisting the Contractor to expedite the work of construction. The Contractor shall be responsible for the care and protection of all materials and work upon which payments have been made until final acceptance of such work and materials by the Owner. Such payments shall not constitute a waiver of the right of the Owner to require the fulfillment of all terms of the Contract and the delivery of all improvements embraced in this Contract complete and satisfactory to the Owner in all details.

b) Final Payment

- After final inspection and acceptance by the Owner of all work under the Contract, the Contractor shall prepare his requisition for final payment which shall be based upon the careful inspection of each item of work at the applicable unit prices stipulated in the Agreement. The total amount of the final payment due the Contractor under this contract shall be the amount computed as described above less all previous payments.
- The Owner before paying the final estimate shall require the Contractor to furnish releases or receipts from all subcontractors having performed any work and all persons having supplied materials, equipment (installed on the Project) and services to the Contractor, if the Owner deems it necessary in order to protect its interest. The Owner may, if it deems such action advisable, make payment in part or in full to the Contractor without requiring the furnishing of such releases or receipts and any payments made shall in no way impair the obligations of any surety or sureties furnished under this Contract.
- Any amount due the Owner under Liquidated Damages, shall be deducted from the final payment due the contractor.

c) Payments Subject to Submission of Certificates

Each payment to the Contractor by the Owner shall be made subject to submission by the Contractor of all written certifications required of him and his subcontractors.

d) Withholding Payments

The Owner may withhold from any payment due the Contractor whatever is deemed necessary to protect the Owner, and if so elects, may also withhold any amounts due from the Contractor to any subcontractors or material dealers, for work performed or material furnished by them. The foregoing provisions shall be



construed solely for the benefit of the Owner and will not require the Owner to determine or adjust any claims or disputes between the Contractor and his subcontractors or material dealers, or to withhold any moneys for their protection unless the Owner elects to do so. The failure or refusal of the Owner to withhold any moneys from the Contractor shall in no way impair the obligations of any surety or sureties under any bond or bonds furnished under this Contract.

5. Progress and Payment Schedule

The Contractor shall submit for approval immediately after execution of the Agreement, a carefully prepared Progress Schedule, showing the proposed dates of starting and completing each of the various sections of the work and the accumulated percent of progress each month.

6. Changes in the Work

- a) The Owner may make changes in the scope of work required to be performed by the Contractor under the Contract without relieving or releasing the Contractor from any of his obligations under the Contract or any guarantee given by him pursuant to the Contract provisions, and without affecting the validity of the guaranty bonds, and without relieving or releasing the surety or sureties of said bonds. All such work shall be executed under the terms of the original Contract unless it is expressly provided otherwise.
- b) Except for the purpose of affording protection against any emergency endangering health, life, limb or property, the Contractor shall make no change in the materials used or in the specified manner of constructing and/or installing the improvements or supply additional labor, services or materials beyond that actually required for the execution of the Contract, unless in pursuance of a written order from the Owner authorizing the Contractor to proceed with the change. No claim for an adjustment of the Contract Price will be valid unless so ordered.
- c) If applicable unit prices are contained in the Agreement, the Owner may order the Contractor to proceed with desired unit prices specified in the Contract; provided that in case of a unit price contract the net value of all changes does not increase the original total amount of the agreement by more than twenty-five percent (25%) in accordance with Chapter 49.273(i) of the Texas Water Code.
- d) Contractor acknowledges and agrees that the adjustments in contract price and/or contract time stipulated in a Change Order represent full compensation for all increases or decreases in the cost of, or the time required to perform the entire work under the Contract, arising directly or indirectly from such Change Order. Acceptance of this waiver constitutes an agreement between the Owner and Contractor that each Change Order represents an all-inclusive, mutually agreed on adjustment to the Contract, and the Contractor will waive all rights to file a claim on Change Orders after they are properly executed.
- e) Each change order shall include in its final form:
  - A detailed description of the change in the work.
  - The Contractor's proposal (if any) or a confirmed copy thereof.
  - A definite statement as to the resulting change in the contract price and/or time.
  - The statement that all work involved in the change shall be performed in accordance with contract requirements except as modified by the change order.
  - The procedures as outlined in this Section for a unit price contract also apply in any lump sum contract.

7. Claims for Extra Cost

- a) If the Contractor claims that any instructions by Drawings or otherwise involve extra cost or extension of time, he shall, within ten days after the receipt of such instructions, and in any event before proceeding to execute the work, submit his protest thereto in writing to the Owner, stating clearly and in detail the basis of his objections. No such claim will be considered unless so made.
- b) Claims for additional compensation for extra work, due to alleged errors in ground elevations, contour lines, or bench marks, will not be recognized unless accompanied by certified survey data, made prior to the time

the original ground was disturbed, clearly showing that errors exist which resulted, or would result, in handling more material, or performing more work, than would be reasonably estimated from the Drawings and maps issued.

- c) Any discrepancies which may be discovered between actual conditions and those represented by the Drawings and maps shall be reported at once to the Owner and work shall not proceed except at the Contractor's risk, until written instructions have been received by him from the Owner.
- d) If, on the basis of the available evidence, the Owner determines that an adjustment of the Contract Price and/or time is justifiable, a change order shall be executed.

#### 8. Termination, Delays, and Liquidated Damages

##### a) Right of the Owner to Terminate Contract.

In the event that any of the provisions of this contract are violated by the Contractor, or by any of his subcontractors, the Owner may serve written notice upon the Contractor and the Surety of its intention to terminate the contract. The notices shall contain the reasons for such intention to terminate the contract, and unless such violation or delay shall cease, and satisfactory arrangement of correction be made within ten days, the contract shall, upon the expiration of said ten (10) days, cease and terminate. In the event of any such termination, the Owner shall immediately serve notice thereof upon the Surety and the Contractor. The Surety shall have the right to take over and perform the contract. Provided, however, that if the Surety does not commence performance thereof within ten (10) days from the date of the mailing to such Surety of notice of termination, the Owner may take over the work and complete the project by bid/contract or by force account at the expense of the Contractor and his Surety shall be liable to the Owner for any excess cost incurred. In such event the Owner may take possession of and utilize in completing the work, such materials, appliances, and plant as may be on the site of the work and necessary therefore.

##### b) Liquidated Damages for Delays.

If the work is not completed within the time stipulated in the applicable bid for Lump Sum or Unit Price Contract provided, the Contractor shall pay to the Owner as fixed, agreed, and liquidated damages (it being impossible to determine the actual damages occasioned by the delay) the amount of \$1,000 for each calendar day of delay, until the work is completed. The Contractor and his sureties shall be liable to the Owner for the amount thereof.

##### c) Excusable Delays.

- The right of the Contractor to proceed shall not be terminated nor shall the Contractor be charged with liquidated damages for any delays in the completion of the work due to:
- Any acts of the Government, including controls or restrictions upon or requisitioning of materials, equipment, tools, or labor by reason of war, national defense, or any other national emergency;
- Any acts of the Owner;
- Causes not reasonably foreseeable by the parties to this Contract at the time of the execution of the Contract which are beyond the control and without the fault or negligence of the Contractor, including, but not restricted to, acts of God or of the public enemy, acts of another Contractor in the performance of some other contract with the Owner, fires, floods, epidemics, quarantine, restrictions, strikes, freight embargoes, and weather of unusual severity such as hurricanes, tornadoes, cyclones and other extreme weather conditions.
- Provided, however, that the Contractor promptly notifies the Owner within ten (10) days in writing of the cause of the delay. Upon receipt of such notification, the Owner shall ascertain the facts and the cause and extent of delay. If, upon the basis of the facts and the terms of this contract, the delay is

properly excusable, the Owner shall extend the time for completing the work for a period of time commensurate with the period of excusable delay.

9. Contract Period

The Contractor shall commence work within ten (10) days after ordered to proceed and shall prosecute the work systematically and energetically so that all of his work will be completed within the contract time as stipulated.

The Engineer, on reasonable notice in writing to the Contractor may stop any portion of the work, if in his judgment, the weather or other conditions, such as labor troubles, poor materials, improper construction methods, noncompliance with plans and specifications, prevent the work from being properly done.

For delays occasioned by any act, neglect or default of the Owner, the Owner shall not be held liable for damages on account thereof, but an extension of time shall be granted to the Contractor for the completion of this contract, equivalent to the delays so caused.

10. Assignment or Novation

The Contractor shall not assign or transfer, whether by an assignment or novation, any of its rights, duties, benefits, obligations, liabilities, or responsibilities under this Contract without the written consent of the Owner; provided, however, that assignments to banks or other financial institutions may be made without the consent of the Owner. No assignment or novation of this Contract shall be valid unless the assignment or novation expressly provides that the assignment of any of the Contractor's rights or benefits under the Contract is subject to a prior lien for labor performed, services rendered, and materials, tools, and equipment supplied for the performance of the work under this Contract in favor of all persons, firms, or corporations rendering such labor or services or supplying such materials, tools, or equipment.

11. Disputes

a) All disputes arising under this Contract or its interpretation except those disputes covered by FEDERAL LABOR STANDARDS PROVISIONS whether involving law or fact or both, or extra work, and all claims for alleged breach of contract shall, within ten (10) days of commencement of the dispute, be presented by the Contractor to the Owner for decision. Any claim not presented within the time limit specified in this paragraph shall be deemed to have been waived, except that if the claim is of a continuing character and notice of the claim is not given within ten (10) days of its commencement, the claim will be considered only for a period commencing ten (10) days prior to the receipt of the Owner.

b) The Contractor shall submit in detail his claim and his proof thereof.

c) If the Contractor does not agree with any decision of the Owner, he shall in no case allow the dispute to delay the work but shall notify the Owner promptly that he is proceeding with the work under protest.

12. Technical Specifications and Drawings

Anything mentioned in the Technical Specifications and not shown on the Drawings or vice versa, shall be of like effect as if shown on or mentioned in both. In case of difference between Drawings and Technical Specifications, the Technical Specifications shall govern. In case of any discrepancy in Drawings, or Technical Specifications, the matter shall be immediately submitted to the Owner, without whose decision, said discrepancy shall not be adjusted by the Contractor, save only at his own risk and expense.

13. Shop Drawings

a) All required shop drawings, machinery details, layout drawings, etc. shall be submitted to the Engineer in 5 copies for review sufficiently in advance of requirements to afford ample time for checking, including time for correcting, resubmitting and rechecking if necessary. The Contractor may proceed, only at his own risk, with manufacture or installation of any equipment or work covered by said shop drawings, etc. until they are

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reviewed and no claim, by the Contractor, for extension of the contract time shall be granted by reason of his failure in this respect.

- b) Any drawings submitted without the Contractor's stamp of approval will not be considered and will be returned to him for proper resubmission. If any drawings show variations from the requirements of the Contract because of standard shop practice or other reason, the Contractor shall make specific mention of such variation in his letter of transmittal in order that, if acceptable, suitable action may be taken for proper adjustment of contract price and/or time, otherwise the Contractor will not be relieved of the responsibility for executing the work in accordance with the Contract even though the drawings have been reviewed.
- c) If a shop drawing is in accordance with the contract or involves only a minor adjustment in the interest of the Owner not involving a change in contract price or time; the engineer may review the drawing. The review shall not relieve the Contractor from his responsibility for adherence to the contract or for any error in the drawing.

#### 14. Requests for Supplementary Information

It shall be the responsibility of the Contractor to make timely requests of the Owner for any additional information not already in his possession which should be furnished by the Owner under the terms of this Contract, and which he will require in the planning and execution of the work. Such requests may be submitted from time to time as the need approaches, but each shall be filed in ample time to permit appropriate action to be taken by all parties involved so as to avoid delay. Each request shall be in writing and list the various items and the latest date by which each will be required by the Contractor. The first list shall be submitted within two weeks after Contract award and shall be as complete as possible at that time. The Contractor shall, if requested, furnish promptly any assistance and information the Engineer may require in responding to these requests of the Contractor. The Contractor shall be fully responsible for any delay in his work or to others arising from his failure to comply fully with the provision of this section.

#### 15. Materials and Workmanship

- a) Unless otherwise specifically provided for in the technical specifications, all workmanship, equipment, materials and articles incorporated in the work shall be new and the best grade of the respective kinds for the purpose. Where equipment, materials, articles or workmanship are referred to in the technical specifications as "equal to" any particular standard, the Engineer shall decide the question of equality.
- b) The Contractor shall furnish to the Owner for approval the manufacturer's detailed specifications for all machinery, mechanical and other special equipment, which he contemplates installing together with full information as to type, performance characteristics, and all other pertinent information as required, and shall likewise submit for approval full information concerning all other materials or articles which he proposes to incorporate.
- c) Machinery, mechanical and other equipment, materials or articles installed or used without such prior approval shall be at the risk of subsequent rejection.
- d) Materials specified by reference to the number or symbol of a specific standard, shall comply with requirements in the latest revision thereof and any amendment or supplement thereto in effect on the date of the Invitation for Bids, except as limited to type, class or grade, or modified in the technical specifications shall have full force and effect as though printed therein.
- e) The Owner may require the Contractor to dismiss from the work such employee or employees as the Owner or the Engineer may deem incompetent, or careless, or insubordinate.

#### 16. Samples, Certificates and Tests

- a) The Contractor shall submit all material or equipment samples, certificates, affidavits, etc., as called for in the contract documents or required by the Engineer, promptly after award of the contract and acceptance of the Contractor's bond. No such material or equipment shall be manufactured or delivered to the site, except

at the Contractor's own risk, until the required samples or certificates have been approved in writing by the Engineer. Any delay in the work caused by late or improper submission of samples or certificates for approval shall not be considered just cause for an extension of the contract time.

- b) Each sample submitted by the Contractor shall carry a label giving the name of the Contractor, the project for which it is intended, and the name of the producer. The accompanying certificate or letter from the Contractor shall state that the sample complies with contract requirements, shall give the name and brand of the product, its place of origin, the name and address of the producer and all specifications or other detailed information which will assist the Engineer in making a prompt decision regarding the acceptability of the sample. It shall also include the statement that all materials or equipment furnished for use in the project will comply with the samples and/or certified statements.
- c) Approval of any materials shall be general only and shall not constitute a waiver of the Owner's right to demand full compliance with Contract requirements. After actual deliveries, the Engineer will have such check tests made as he deems necessary in each instance and may reject materials and equipment and accessories for cause, even though such materials and articles have been given general approval. If materials, equipment or accessories which fail to meet check tests have been incorporated in the work, the Engineer will have the right to cause their removal and replacement by proper materials or to demand and secure such reparation by the Contractor as is equitable.

#### 17. Permits and Codes

- a) The Contractor shall give all notices required by and comply with all applicable laws, ordinances, and codes of the Local Government. All construction work and/or utility installations shall comply with all applicable ordinances, and codes including all written waivers. Before installing any work, the Contractor shall examine the drawings and technical specifications for compliance with applicable ordinances and codes and shall immediately report any discrepancy to the Owner. Where the requirements of the drawings and technical specifications fail to comply with such applicable ordinances or codes, the Owner will adjust the Contract by Change Order to conform to such ordinances or codes (unless waivers in writing covering the difference have been granted by the governing body or department) and make appropriate adjustment in the Contract Price or stipulated unit prices.
- b) Should the Contractor fail to observe the foregoing provisions and proceed with the construction and/or install any utility at variance with any applicable ordinance or code, including any written waivers (notwithstanding the fact that such installation is in compliance with the drawings and technical specifications), the Contractor shall remove such work without cost to the Owner.
- c) The Contractor shall at his own expense, secure and pay for all permits for street pavement, sidewalks, shed, removal of abandoned water taps, sealing of house connection drains, pavement cuts, buildings, electrical, plumbing, water, gas and sewer permits required by the local regulatory body or any of its agencies.
- d) The Contractor shall comply with applicable local laws and ordinances governing the disposal of surplus excavation, materials, debris and rubbish on or off the Project Area and commit no trespass on any public or private property in any operation due to or connected with the Improvements contained in this Contract.
- e) The Contractor will be required to make arrangements for and pay the water, electrical power, or any other utilities required during construction.
- f) During construction of this project, the Contractor shall use every means possible to control the amount of dust created by construction. Prior to the close of a day's work, the Contractor, if directed by the Owner, shall moisten the ground and surrounding area to prevent a dusty condition.

#### 18. Care of Work

- a) The Contractor shall be responsible for all damages to person or property that occur as a result of his fault or negligence in connection with the prosecution of the work and shall be responsible for the proper care and protection of all materials delivered and work performed until completion and final acceptance.
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- b) The Contractor shall provide sufficient competent watchmen, both day and night, including Saturdays, Sundays, and holidays, from the time the work is commenced until final completion and acceptance.
- c) In an emergency affecting the safety of life, limb or property, including adjoining property, the Contractor, without special instructions or authorization from the Owner is authorized to act at his discretion to prevent such threatened loss or injury, and he shall so act. He shall likewise act if instructed to do so by the Owner.
- d) The Contractor shall avoid damage as a result of his operations to existing sidewalks, streets, curbs, pavements, utilities (except those which are to be replaced or removed), adjoining property, etc., and he shall at his own expense completely repair any damage thereto caused by his operations.
- e) The Contractor shall shore up, brace, underpin, secure, and protect as maybe necessary, all foundations and other parts of existing structures adjacent to, adjoining, and in the vicinity of the site, which may be in any way affected by the excavations or other operations connected with the construction of the improvements included in this Contract. The Contractor shall be responsible for the giving of any and all required notices to any adjoining or adjacent property Owner or other party before the commencement of any work. The Contractor shall indemnify and save harmless the Owner from any damages on account of settlements or the loss of lateral support of adjoining property and from all loss or expense and all damages for which the Owner may become liable in consequence of such injury or damage to adjoining and adjacent structures and their premises.

19. Accident Prevention

- a) No laborer or mechanic employed in the performance of this Contract shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to his health or safety as determined under construction safety and health standards promulgated by the Secretary of Labor.
- b) The Contractor shall exercise proper precaution at all times for the protection of persons and property and shall be responsible for all damages to persons or property, either on or off the site, which occur as a result of his prosecution of the work.
- c) The Contractor shall maintain an accurate record of all cases of death, occupational disease, or injury requiring medical attention or causing loss of time from work, arising out of and in the course of employment on work under the Contract. The Contractor shall promptly furnish the Owner with reports concerning these matters.
- d) The Contractor shall indemnify and save harmless the Owner from any claims for damages resulting from property damage, personal injury and/or death suffered or alleged to have been suffered by any person as a result of any work conducted under this contract.
- e) The Contractor shall provide trench safety for all excavations more than five feet deep prior to excavation. House Bill 1569 concerning trench safety legislation are made a part of these contract documents for Contractor's reference. All OSHA Standards for trench safety must be adhered to by the Contractor.
- f) The Contractor shall at all-time conduct his work in such a manner as to insure the least possible inconvenience to vehicular and pedestrian traffic. At the close of the work each day, all streets where possible in the opinion of the city/county, shall be opened to the public in order that persons living in the area may have access to their homes or businesses by the use of the streets. Barricades, warning signs, and necessary lighting shall be provided to the satisfaction of the Owner at the expense of the Contractor.

20. Use of Premises

- a) The Contractor shall confine his equipment, storage of materials, and construction operations to the contract limits as shown on the drawings and as prescribed by ordinances or permits, or as may be desired by the Owner, and shall not unreasonably encumber the site or public rights of way with his materials and construction equipment.



- b) The Contractor shall comply with all reasonable instructions of the Owner and all existing state and local regulations regarding signs, advertising, traffic, fires, explosives, danger signals, and barricades.

21. Removal of Debris, Cleaning, Etc.

The Contractor shall, periodically or as directed during the progress of the work, remove and legally dispose of all surplus excavated material and debris, and keep the Project Area and public rights of way reasonably clear. Upon completion of the work, he shall remove all temporary construction facilities, debris and unused materials provided for work, and put the whole site of the work and public rights of way in a neat and clean condition.

22. Inspection

- a) All materials and workmanship shall be subject to inspection, examination, or test by the Owner and Engineer at any and all times during manufacture or construction and at any and all places where such manufacture or construction occurs. The Owner shall have the right to reject defective material and workmanship or require its correction. Unacceptable workmanship shall be satisfactorily corrected. Rejected material shall be promptly segregated and removed from the Project Area and replaced with material of specified quality without charge. If the Contractor fails to proceed at once with the correction of rejected workmanship or defective material, the Owner may by contract or otherwise have the defects remedied or rejected materials removed from the Project Area and charge the cost of the same against any Monies which may be due the Contractor, without prejudice to any other rights or remedies of the Owner.
- b) The Contractor shall furnish promptly all materials reasonably necessary for any tests which may be required. All tests by the Owner will be performed in such manner as not to delay the work unnecessarily and will be made in accordance with the provisions of the technical specifications.
- c) The Contractor shall notify the Owner sufficiently in advance of back filling or concealing any facilities to permit proper inspection. If any facilities are concealed without approval or consent of the Owner, the Contractor shall uncover for inspection and recover such facilities at his own expense, when so requested by the Owner.
- d) Should it be considered necessary or advisable by the Owner at any time before final acceptance of the entire work to make an examination of work already completed by uncovering the same, the Contractor shall on request promptly furnish all necessary facilities, labor, and material. If such work is found to be defective in any important or essential respect, due to fault of the Contractor or his subcontractors, the Contractor shall defray all the expenses of such examination and of satisfactory reconstruction. If, however, such work is found to meet the requirements of the Contract, the actual cost of labor and material necessarily involved in the examination and replacement, shall be allowed the Contractor and he shall, in addition, if completion of the work of the entire Contract has been delayed thereby, be granted a suitable extension of time on account of the additional work involved.
- e) Inspection of materials and appurtenances to be incorporated in the improvements included in this Contract may be made at the place of production, manufacture or shipment, whenever the quantity justifies it, and such inspection and acceptance, unless otherwise stated in the technical specifications, shall be final, except as regards (1) latent defects, (2) departures from specific requirements of the Contract, (3) damage or loss in transit, or (4) fraud or such gross mistakes as amount to fraud. Subject to the requirements contained in the preceding sentence, the inspection of materials as a whole or in part will be made at the Project Site.
- f) Neither inspection, testing, approval nor acceptance of the work in whole or in part, by the Owner or its agents shall relieve the Contractor or his sureties of full responsibility for materials furnished or work performed not in strict accordance with the Contract.

23. Review by Owner

The Owner and its authorized representatives and agents shall have access to and be permitted to observe and review all work, materials, equipment, payrolls, personnel records, employment conditions, material invoices,

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and other relevant data and records pertaining to this Contract, provided, however that all instructions and approval with respect to the work will be given to the Contractor only by the Owner through its authorized representatives or agents.

24. Final Inspection

When the Improvements included in this Contract are substantially completed, the Contractor shall notify the Owner in writing that the work will be ready for final inspection on a definite date which shall be stated in the notice. The Owner will make the arrangements necessary to have final inspection commenced on the date stated in the notice, or as soon thereafter as is practicable.

25. Deduction for Uncorrected Work

If the Owner deems it not expedient to require the Contractor to correct work not done in accordance with the Contract Documents, an equitable deduction from the Contract Price will be made by agreement between the Contractor and the Owner and subject to settlement, in case of dispute, as herein provided.

26. Insurance

- a) The Contractor shall not commence work under this contract until he has obtained all the insurance required under this paragraph and such insurance has been approved by the Owner.
- b) Worker's Compensation Insurance: The Contractor shall procure and shall maintain during the life of this contract Worker's Compensation Insurance as required by the State of Texas for all of his employees to be engaged in work at the site of the project under this contract and, in case of any such work sublet, the Contractor shall require the subcontractor similarly to provide Worker's Compensation Insurance for all of the employees to be engaged in such work unless such employees are covered by the protection afforded by the Contractor's Worker's Compensation Insurance.
- c) Comprehensive General Liability Insurance: including products/completed operation with limits of liability of not less than \$1,000,000/2,000,000 combined single limit Bodily Injury and Property Damage.
- d) Automobile Liability Insurance: including non-owned and hired vehicle coverage limits of liability of not less than: Bodily Injury \$1,000,000 each person, \$1,000,000 each occurrence: Property Damage \$1,000,000 each occurrence, combined limit \$2,000,000.

Contractor's Public Liability and Property Damage Insurance and Vehicle Liability Insurance: The Contractor shall procure and maintain during the life of this contract Contractor's Public Liability Insurance, Contractor's Property Damage Insurance and Vehicle Liability Insurance in the following amounts: \$1,000,000, \$1,000,000, \$1,000,000.

- e) The Sabine River Authority of Texas and Sabine River Authority, State of Louisiana shall be listed as additional insured on all insurance. Provide a waiver of subrogation in favor of the Sabine River Authority on all policies.
- f) Proof of Insurance: The Contractor shall furnish the Owner with certificates showing the type, amount, class of operations covered, effective dates and date of expiration of policies. Such certificates shall also contain substantially the following statement: "The insurance covered by this certificate will not be canceled or materially altered, except after ten (10) days written notice has been received by the Owner."
- g) Indemnification: The Contractor shall indemnify and hold harmless the Owner and the Engineer from any and all claims, causes of actions, and damages of every kind, for injury to or death of any person and damages to property arising out of the construction of said improvements or the operations embraced by this contract or the use of the premises upon which the improvements under this contract are to be constructed, and including acts or omissions of the Owner or the Engineer in connection with said improvements.



27. Warranty of Title

No material, supplies, or equipment to be installed or furnished under this Contract shall be purchased subject to any chattel mortgage or under a conditional sale, lease-purchase or other agreement by which an interest is retained by the seller or supplier. The Contractor shall warrant good title to all materials, supplies, and equipment installed or incorporated in the work and upon completion of all work, shall deliver the same together with all improvements and appurtenances constructed or placed by him to the Owner free from any claims, liens, or charges. Neither the Contractor nor any person, firm, or corporation furnishing any material or labor for any work covered by this Contract shall have any right to a lien upon any improvement or appurtenance. Nothing contained in this paragraph, however, shall defeat or impair the right of persons furnishing materials or labor to recover under any law permitting such persons to look to funds due the Contractor in the hands of the Owner. The provisions of this paragraph shall be inserted in all subcontracts and material contracts and notice of its provisions shall be given to all persons furnishing materials for the work when no formal contract is entered into for such materials.

28. Warranty of Workmanship and Materials

Neither the final certificate of payment nor any provision in the Contract nor partial or entire use of the improvements included in this Contract by the Owner or the public shall constitute an acceptance of work not done in accordance with the Contract or relieve the Contractor of liability in respect to any express warranties or responsibility for faulty materials or workmanship. Furthermore, if any work is found to be defective, the Contractor shall promptly, without cost to Owner and in accordance with the Owner's written instructions, either correct such defective work, or, if it has been rejected by Owner remove it from the site and replace it with non-defective work. The Contractor shall promptly remedy any defects in the work and pay for any damage to other work resulting there from which shall appear within a period of (12) months from the date of final acceptance of the work or as otherwise specified within the technical specifications.

If the Contractor does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective work corrected or the rejected work removed and replaced, and all direct, indirect and consequential cost of such removal and replacement, including but not limited to fees and charges of architects, engineers, attorneys and other professionals, shall be paid by the Contractor.

29. Equal Employment Opportunity

- a) The Contractor will not discriminate against any employee or the applicant for employment because of race, color, religion, sex, or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to the following: employment, promotion, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Owner.
- b) The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- c) The Contractor will cause the foregoing provisions to be inserted in all subcontracts for any work covered by this contract so that such provisions will be binding upon each subcontractor, provided that the foregoing provisions shall not apply to contracts or subcontracts for standard commercial supplies or raw materials.
- d) The Contractor shall take affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions.

- e) Contractors are encouraged to participate in voluntary associations which assist in fulfilling their affirmative action obligations.
- f) The Contractor is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority.
- g) The Contractor shall not use the affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- h) The Contractor shall not enter into any Subcontract with any person or firm debarred from Government contracts.
- i) Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents.

### 30. Job Offices

- a) The Contractor and his subcontractors may maintain such office and storage facilities on the site as are necessary for the proper conduct of the work. These shall be located so as to cause no interference to any work to be performed on the site. The Owner shall be consulted with regard to locations. Readily accessible copies of the contract documents shall be kept with the project's superintendent and at such other points on the job as the Owner may designate. Telephone services shall be maintained by the Contractor with an answering machine or answering service for receiving messages from the Owner.
- b) Upon completion of the improvements, or as directed by the Owner, the Contractors shall remove all such temporary structures and facilities from the site and leave the site of the work in the condition required by the Contract.

### 31. Sanitary Facilities

The Contractor shall furnish, install and maintain ample sanitary facilities for the workmen. As the needs arise, a sufficient number of enclosed temporary toilets shall be conveniently placed as required. Drinking water shall be provided from an approved source, so piped or transported as to keep it safe and fresh and served from single service containers or satisfactory types of sanitary drinking stands or fountains. All such facilities and services shall be furnished in strict accordance with existing and governing health regulations.

### 32. Partial Use of Site Improvements

- a) The Owner may give notice to the Contractor and place in use those sections of the improvements which have been completed, inspected and can be accepted as complying with the technical specifications and if in its opinion, each such section is reasonably safe, fit, and convenient for the use and accommodation for which it was intended, provided:
- b) The use of such sections of the Improvements shall in no way impede the completion of the remainder of the work by the Contractor.
- c) The Contractor shall not be responsible for any damages or maintenance costs due directly to the use of such sections.
- d) The period of guarantee stipulated in the Section 28 hereof shall not begin to run until the date of the final acceptance of all work which the Contractor is required to construct under this Contract.

### 33. Subsurface Information

Prior to bidding, bidders may make their own subsurface investigations under time schedule and arrangements approved in advance by the Owner. The Contractor shall determine to his satisfaction the subsoil conditions and

their effect on the required construction and shall complete the work as required without additional cost to the Owner.

#### 34. Construction Stakes

The Owner will establish such general reference points and construction stakes as in his judgment will enable the Contractor to proceed with the work. The Contractor will be responsible for the layout of the work from these stakes and will protect and preserve the established reference points and construction stakes and will make no changes or relocations without prior written approval of the Owner. He will report to the Owner whenever any reference point or construction stake is lost or destroyed or requires relocation because of necessary changes in grades or locations. The Contractor will replace and accurately relocate all reference points and construction stakes so lost, destroyed, or moved.

#### 35. Barricades, Signs and Handling of Traffic

Barricades, signs, and handling of traffic, if required, shall be in accordance with the "Manual on Uniform Traffic Control Devices" as adopted by the Texas State Department of Highways and Public Transportation.

The Contractor shall remedy any deficiencies in barricading or traffic control immediately upon notification by the Owner. Prior to construction, the Contractor shall furnish to Owner a list of Contractor's personnel with telephone numbers for notification 24 hours a day, seven days a week. The listed personnel shall have adequate authority to correct barricading or traffic control promptly.

Corrective action must be taken upon verbal notice from the law enforcement agencies, the Texas State Department of Highways and Public Transportation or County Engineer's Office. The Contractor shall, within two (2) hours of actual or attempted notification, have sufficient barricades, lights, and/or other devices to control traffic. Should the Contractor not comply with this notification within two (2) hours, the Owner may place barricades and back charge the Contractor \$250.00 per day per construction site.

#### 36. Location of and Damage to Existing Utilities

The Contractor is responsible for locating underground obstacles. It is not represented that the drawings show all underground obstacles.

The Contractor shall exercise caution to prevent damage to existing facilities during the progress of the construction work, taking care to locate same, where possible, in advance of the actual work. The Engineer will render reasonable assistance to the Contractor in the matter of determining the location of existing utilities by making available such maps, records, and other information as may be accessible to him, when requested to do so, but the accuracy of such information will not be guaranteed.

The Contractor shall make good all damage to existing utilities and/or pipelines resulting from his operations. Should the Contractor, in the layout of his work, encounter any pipe, underground utility, or structure, the location of which has not been furnished to him by the Engineer, he shall bring such conditions to the attention of the Engineer for his determination of the method to be used to remove or bypass such obstructions.

In cases where owners of existing pipelines or underground utilities provide services for locating their facilities, the Contractor shall coordinate the location marking and be responsible for preserving all stakes and markers set for this purpose. The Contractor's responsibility for these markers shall be similar to his responsibility for construction stakes. The Contractor shall save harmless the Owner and the Engineer for any expenses for restoring damaged markers.

#### 37. Sales Tax

This Contract is issued by an organization that qualifies for exemption under the Sales and Use Tax Laws. The Contractor's attention is directed to the State of Texas Comptroller of Public Accounts Limited Sales, Excise and Use Tax for rules and regulations thereto. Tax Exemption Certificates will be furnished by the Owner on request.

38. Materials and Workmanship

Unless otherwise specified, all materials shall be new. No material which has been used by the Contractor for any temporary purpose whatsoever is to be incorporated in the permanent structure without written consent of the Engineer.

Where material or equipment are specified by a trade or brand name, it is not the intention of the Owner to discriminate against an equivalent product of another manufacturer, but rather to set a definite standard of equality or performance and to establish an equitable basis for the evaluation of bids. Where the words "equivalent", "proper" or "equal to" are used, they shall be understood to mean that the thing referred to shall be proper, the equivalent of, or equal to some other thing, in the opinion or judgment of the Engineer in his sole discretion.

Unless otherwise specified, all materials shall be the best of their respective kinds and shall be in all cases fully equivalent to approved samples. Notwithstanding that the words "or equal to" or other such expressions may be used in the specifications in connection with material, manufactured article, or process, the material, article, or process specifically designated shall be used, unless a substitute shall be approved in writing by the Engineer, and the Engineer shall have the right to require the use of such specifically designated material, article or process.

39. Removal of Condemned Work

In the construction of the work contemplated in this contract, the best workmanship, materials and equipment of the grade and make specified shall be used and installed, and to that end, judged by these standards. If any work, equipment or material is found to be imperfect or not in substantial compliance with the provisions of this contract, as interpreted by the Engineer, at any time prior to the acceptance of the entire work done under this contract, notwithstanding that it may have been passed, overlooked, or previously accepted, the Contractor shall, at his own cost and expense, remove it from the premises of the Owner, otherwise dispose of it as directed.

When such condemned work, material or equipment has been removed or disposed of, it shall be replaced by the Contractor at his own cost and expense, in accordance with the plans and specifications to the entire satisfaction of the Engineer. The Owner shall not be compelled nor be under any obligation to retain said condemned work, material or equipment nor any part thereof, nor pay the Contractor even the reasonable value of same. In case the Contractor shall neglect or refuse to remove or replace any condemned work, material or equipment after written notice, within the time designated by the Engineer, such condemned work, material or equipment may be removed or replaced by the Owner and the Contractor hereby agrees to pay for the cost of work so done, or material or equipment so purchased by the Owner.

40. Fitting and Coordination of Work

The Contractor shall do all work that may be necessary to connect the new work with existing improvements in a proper and workmanlike manner. Only such portion of existing improvements shall be removed for new construction as shall be ordered by the Engineer. Any other damage to existing improvements shall be repaired by the Contractor at his own expense. The Contractor shall be responsible for the proper fitting of all work and for the coordination of the operations of all trades, subcontractors, or material suppliers engaged upon this Contract.

41. Responsibilities

The Contractor shall be responsible for all materials delivered to him for all parts of the work, including that which has been partially paid for, until final acceptance of the entire work, and shall be liable for all losses or damages thereto which may occur during the progress of construction and before final acceptance. The Contractor shall be required to make good at his own cost any loss, injury or damage which the said materials or work may sustain from any source or cause whatsoever before final acceptance thereof.

The Contractor is required to replace or repair, if necessary, any portion of pavement or other street improvements adjoining his work which may have suffered through his operations, and all adjacent paving or other structures shall be left in a satisfactory and workmanlike condition, at least equal to that existing before the Contractor hereunder started his work.

## **SPECIAL CONDITIONS OF THE AGREEMENT**

### **1. GENERAL**

The provisions of this Section of the specifications shall govern in the event of any conflict between them and the "General Conditions of Agreement".

### **2. OWNER**

The word "Owner" in these specifications shall be understood as referring to the Sabine River Authority of Texas and the Sabine River Authority, State of Louisiana, Burkeville, Texas.

### **3. ENGINEER**

The word "Engineer" in these specifications shall be understood as referring to the Sabine River Authorities Director of Engineering, or such other Engineer, as may be authorized by said Owner to act in any particular position.

### **4. CONTRACTOR**

The word "Contractor" in these specifications shall be understood as denoting the General Contractor/Supplier signing this contract.

### **5. SUBLETTING**

The Contractor will not be permitted to assign, sell, transfer or otherwise dispose of the contract or any portion thereof, or his rights, title or interest therein without the approval of the Owner. The Contractor will not be permitted to sublet any portion of the contract without the approval of the Owner and the Engineer. No sub-contract will, in any case, relieve the Contractor of his responsibility under the contract and bond.

### **6. TRADE NAMES**

Except as specifically specified otherwise, wherever in the specifications an article or class of material is designated by a trade name, or by the name or catalog number of any maker, patentee, manufacturer, or dealer, such designation shall be taken as intending to mean and specify the articles described or another equivalent thereto in quality, finish, and serviceability for the purpose intended as may be determined and judged by the Engineer in his sole discretion.

### **7. MATERIALS AND WORKMANSHIP**

Unless otherwise specified, all materials shall be new. No material which has been used for any temporary purpose whatsoever is to be incorporated in the project without written consent of the Engineer.

Where material or equipment are specified by a trade or brand name, it is not the intention of the Owner to discriminate against an equivalent product of another manufacturer, but rather to set a definite standard of equality or performance and to establish an equitable basis for the evaluation of bids. Where the words "equivalent", "proper" or "equal to" are used, they shall be understood to mean that the thing referred to shall be proper, the equivalent of, or equal to some other thing, in the opinion or judgement of the Engineer.

Unless otherwise specified, all materials shall be the best of their respective kinds and shall be in all cases fully equivalent to approved samples. Notwithstanding that the words "or equal to" or other such expressions may be used in the specifications in connection with material, manufactured article, or process, the material, article, or process specifically designated shall be used, unless a substitute shall be approved in writing by the Engineer, and the Engineer shall have the right to require the use of such specifically designated material, article or process.

8. INDEMNIFICATION

The Contractor shall indemnify and hold harmless the Sabine River Authority of Texas, Sabine River Authority, State of Louisiana, and the Engineer from any and all claims, causes of actions, and damages for every kind, for injury to or death of any person and damages to property arising out of the construction of said improvements or the operations embraced by this contract or the use of the premises upon which the improvements under this contract are to be constructed, and including acts or omissions of the Owner or the Engineer in connection with said construction.

9. PARTIAL PAYMENTS BY THE OWNER

Progress Payment. Partial payment will be paid for on a monthly basis at the unit price set forth in the bid proposal. Once all of the materials are received by the Owner the final payment will be adjusted accordingly.

At any time upon request, the Contractor shall submit evidence showing payment of his bills for labor, materials, freight, or other expenses on account of the work, and if it should be evident that the Contractor is not making prompt and full payment of his obligations, the Owner may withhold the issuance of payment until such unpaid wages and/or related liquidated damages are remedied.

10. OWNER'S ALLOWANCE

Contractor agrees that an Owner's allowance, if any, is for the sole use of Owner to cover unanticipated cost. Prior to final payment, an appropriate Change Order will be issued as recommended by the Engineer to reflect actual amounts due Contractor for work covered by allowances, and the contract price will be correspondingly adjusted.

11. OBSERVATION OF THE MATERIALS

It is agreed by the Supplier that the Engineer shall be and is hereby authorized to appoint from time to time such representatives as the said Owner may deem proper to observe the material furnished under this contract and to see that the said materials furnished are in accordance with the specifications therefore.

12. FINAL ACCEPTANCE AND PAYMENT

The final payment due the Contractor shall be based on measurements and calculations performed or verified by the Engineer. The final payment due the Contractor shall be the amount calculated for all work performed (plus materials furnished uninstalled if applicable), less all previous payments, less any back charges levied against the Contractor according to the Contract Documents, and less any liquidated damages.

If the Owner should issue the Certificate of Acceptance following the issuance by the Engineer of the Certificate of Substantial Completion, the Engineer shall thereupon proceed to make final measurements and prepare a final statement of the value of all work performed and materials furnished under the terms of the Contract, less a retention of the Engineer's estimated cost of completing the incomplete or unsatisfactory items of work with specified amounts for each incomplete or defective item of work.

13. LIQUIDATED DAMAGES

Since the actual damages for any delay under this contract are impossible to foresee, the Supplier and his Sureties shall be liable for and shall pay to the Owner the sum of **\$1,000** per day as fixed, agreed, and liquidated damages for each calendar day of delay caused from delivery of materials beyond the stipulated contract time.

14. PROHIBITION ON BOYCOTTING ISRAEL

In accordance with Section 2270.002 of the Texas Government Code, Contractor hereby represents and warrants that Contractor:

1. Does not boycott Israel; and
2. Will not boycott Israel during the term of this Contract.

15. PROHIBITION ON BOYCOTTING POWER COMPANIES

In accordance with Section 2274.001 of the Texas Government Code, Contractor hereby represents and warrants that Contractor:

1. Does not boycott power companies; and
2. Will not boycott power companies during the term of this contract.

16. PROHIBITION ON COMPANIES THAT DISCRIMINATE AGAINST FIREARM AND AMMUNITION INDUSTRIES

In accordance with Section 2274.002 of the Texas Government Code, Contractor hereby represents and warrants that Contractor:

1. Does not have a practice, policy, guidance, or directive that discriminates against a firearm entity or firearm trade association; and
2. Will not discriminate during the term of the contract against a firearm entity or firearm trade association.

17. POSTING OF CERTAIN INFORMATION AT COMMERCIAL BUILDING CONSTRUCTION SITE REQUIRED

In accordance with Section 116.001 of the Texas Government Code, Contractor hereby represents and warrants that Contractor:

1. As soon as practicable after beginning construction of a commercial building project located in this state, the developer of the project shall visibly post the following information at the entrance to the construction site: a) the name and contact information of the developer; and b) a brief description of the project.

## AGREEMENT

THIS AGREEMENT, made this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by and between the **Sabine River Authority of Texas and Sabine River Authority, State of Louisiana** herein called "Owner," acting herein through **David Montagne** its **Executive V.P. and General Manager (SRA-TX)** , **Warren Founds, III** its **Executive Director (SRA-LA)** and \_\_\_\_\_ Of \_\_\_\_\_, hereinafter called "Contractor."

WITNESSETH: That for and in consideration of the payments and agreements hereinafter mentioned, to be made and performed by the OWNER, the CONTRACTOR hereby agrees with the OWNER to commence and complete the construction described as follows: **Toledo Bend Project Joint Operation Hydro Station Outage #2** hereinafter called the project, for the prices stated in CONTRACTOR'S attached bid proposal dated \_\_\_\_\_ and all extra work in connection therewith, under the terms as stated in the General and Supplementary Conditions of the Contract; and at his own proper cost and expense to furnish all the materials, supplies, machinery, equipment, tools, superintendence, labor, insurance, and other accessories and services necessary to complete the said project in accordance with the conditions and price stated in the Proposal, Bidding Instructions, the General Conditions, and Supplementary Conditions of the Contract, the plans, the specifications, addendum No. 1 and contract documents as prepared by the Owner, all of which are made a part hereof and collectively evidence and constitute the contract. Total Contract Award \$ \_\_\_\_\_.

The Contractor hereby agrees to commence work under this contract on or before a date specified in a written "Notice to Proceed" from the OWNER and to fully complete all work for the Contract within **45** consecutive calendar days, as outlined in the Project Specifications and Information to Bidders. The Contractor further agrees to pay, as liquidated damages, the sum of **\$1,000** for each consecutive calendar day that he does not complete the work as required.

The OWNER agrees to pay the CONTRACTOR in current funds for the performance of the contract, subject to additions and deductions, as provided in the General and Supplementary Conditions of the Contract, and to make payments on account thereof as provided in Article 4, "Payments to Contractor" of the General Conditions.

CONTRACTOR hereby verifies that CONTRACTOR does not boycott Israel and Power Companies and will not boycott Israel or Power Companies during the term of this Agreement.

CONTRACTOR hereby verifies that CONTRACTOR does not discriminate against firearm and ammunition industries and not discriminate against firearm and ammunition industries during the term of this Agreement.



*(signatures on next page)*

IN WITNESS WHEREOF, the parties to these presents have executed this contract in four (4) counterparts, each of which shall be deemed an original, in the year and day first above mentioned.

(Seal)

ATTEST:

**(Contractor)**

By: \_\_\_\_\_  
(Signature & Title) (Witness)

Date: \_\_\_\_\_

# Sabine River Authority of Texas

By: \_\_\_\_\_  
David Montagne  
Executive V.P. & General Manager

\_\_\_\_\_ (Witness)

Date: \_\_\_\_\_

# Sabine River Authority, State of Louisiana

By: \_\_\_\_\_  
Warren Founds, III  
Executive Director

\_\_\_\_\_  
(Witness)

Date: \_\_\_\_\_

## **PERFORMANCE BOND**

KNOW ALL MEN BY THESE PRESENTS: that

---

(Name of Contractor or Company)

---

(Address)

a \_\_\_\_\_ hereinafter called Principal, and  
(Corporation/Partnership)

---

(Name of Surety Company)

---

(Address)

hereinafter called Surety, are held and firmly bound unto

---

(Name of Recipient)

---

(Recipient's Address)

hereinafter called OWNER, in the penal sum of \_\_\_\_\_ Dollars  
\_\_\_\_\_ (\$ \_\_\_\_\_) in lawful money of the United States, for the payment of which  
sum well and truly to be made we bind ourselves, successors, and assigns, jointly and severally, firmly in  
these presents.

THE CONDITION OF THIS OBLIGATION is such that whereas, the Principal entered into a certain  
contract with the OWNER dated \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, a copy of which is hereto attached and  
made a part hereof for the construction of: \_\_\_\_\_  
(Project Name)

NOW THEREFORE, if the Principal shall well, truly and faithfully perform its duties in all the  
undertakings, covenants, terms, conditions, and agreements of said contract during the original term thereof,  
and any extensions thereof which may be granted by the OWNER, with or without notice to the Surety and  
during the one-year guaranty period, and if the principal shall satisfy all claims and demands incurred under  
such contract, and shall fully indemnify and save harmless the OWNER from all costs and damages which  
it may suffer by reason of failure to do so, and shall reimburse and repay the OWNER all outlay and expense  
which the OWNER may incur in making good any default, then this obligation shall be void, otherwise  
remain in full force and effect.

PROVIDED FURTHER, that the said Surety, for value received hereby stipulates and agrees that no  
change, extension of time, alteration or addition to the terms of the contract or to WORK to be performed  
thereunder to the SPECIFICATIONS accompanying the same shall in any way affect its obligation to this  
BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the  
terms of the contract or to the WORK or to the SPECIFICATIONS PROVIDED, FURTHER, that no final  
settlement between the OWNER and the CONTRACTOR shall abridge the right of any beneficiary  
hereunder, whose claim may be unsatisfied.

IN WITNESS WHEREOF, this instrument is executed in \_\_\_\_\_ counterparts, each  
(Number)  
one of which shall be deemed an original, this the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

ATTEST:

_____	_____
(Principal Secretary)	(Principal)
	By: _____(s)

(SEAL)

_____	_____
(Witness as to Principal)	(Address)
_____	_____
(Address)	_____
_____	_____

ATTEST:

_____	_____
(Witness as to Surety)	(Surety)
	By _____
	(Attorney in Fact)
_____	_____
(Address)	(Address)

NOTE: Date of BOND must not be prior to date of Contract. If CONTRACTOR is Partnership, all partners should execute BOND.

IMPORTANT: Surety companies executing BONDS must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the state where the PROJECT is located.

## PAYMENT BOND

STATE OF TEXAS  
COUNTY OF ORANGE

KNOWN ALL MEN BY THESE PRESENTS: That \_\_\_\_\_ of the City of  
County of \_\_\_\_\_, and State of \_\_\_\_\_ as principal, and

\_\_\_\_\_  
authorized under the laws of the State of Texas to act as surety on bonds for principals, are held  
and firmly bound unto Sabine River Authority of Texas and Sabine River Authority, State of  
Louisiana (Owner), in the penal sum \_\_\_\_\_ Dollars  
\_\_\_\_\_ (\$ \_\_\_\_\_) for the payment whereof, the said Principal and Surety bind  
themselves, and their heirs, administrators, executors, successors and assigns, jointly and  
severally, by these presents:

WHEREAS, the Principal has entered into a certain written contract with the Owner, dated  
the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, to

**Sabine River Authority of Texas  
Sabine River Authority, State of Louisiana  
Hydro Station Outage #2  
RFB #26-001**

which contract is hereby referred to and made a part hereof as fully and to the same extent as if  
copied at length herein.

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION IS SUCH, that if the  
said Principal shall pay all claimants supplying labor and material to him or a subcontractor  
in the prosecution of the work provided for in said contract, then, this obligation shall be  
void; otherwise to remain in full force and effect;

PROVIDED, HOWEVER, that this bond is executed pursuant to the provisions of Chapter  
2253 of the Government Code of Texas as amended and all liabilities on this bond shall be  
determined in accordance with the provisions of said Article to the same extent as if it were copied  
at length herein.

Surety, for value received, stipulates and agrees that no change, extension of time,  
alteration or addition to the terms of the contract, or to the work performed thereunder, or the plans,  
specifications, or drawings accompanying the same, shall in anyway affect its obligation on this  
bond, and it does hereby waive notice of any such change, extension of time, alteration or addition  
to the terms of the contract or to the work to be performed thereunder.

IN WITNESS WHEREOF, the said Principal and Surety have signed and sealed this instrument this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

_____	_____
Principal	Surety
By _____	By _____
Title _____	Title _____
Address _____	Address _____
_____	_____
_____	_____

The name and address of the Resident Agent of Surety is:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_